

## 5.2. TRANSFORMATIONS OF SYMMETRY OPERATIONS

The orthogonality of the basis vectors  $\mathbf{a}, \mathbf{b}, \mathbf{c}$  of direct space and the basis vectors  $\mathbf{a}^*, \mathbf{b}^*, \mathbf{c}^*$  of reciprocal space,

$$\begin{pmatrix} \mathbf{a}^* \\ \mathbf{b}^* \\ \mathbf{c}^* \end{pmatrix} (\mathbf{a}, \mathbf{b}, \mathbf{c}) = \begin{pmatrix} \mathbf{a}^* \cdot \mathbf{a} & \mathbf{a}^* \cdot \mathbf{b} & \mathbf{a}^* \cdot \mathbf{c} \\ \mathbf{b}^* \cdot \mathbf{a} & \mathbf{b}^* \cdot \mathbf{b} & \mathbf{b}^* \cdot \mathbf{c} \\ \mathbf{c}^* \cdot \mathbf{a} & \mathbf{c}^* \cdot \mathbf{b} & \mathbf{c}^* \cdot \mathbf{c} \end{pmatrix} = I,$$

is invariant under a general (affine) transformation. Since both sets of basis vectors are transformed,  $\mathbf{a}^*$  is always perpendicular to the plane defined by  $\mathbf{b}$  and  $\mathbf{c}$  and  $\mathbf{a}^*$  perpendicular to  $\mathbf{b}'$  and  $\mathbf{c}'$  etc.

## 5.2.2.1. Position vector

The position vector  $\mathbf{r}$  in direct space,

$$\mathbf{r} = (\mathbf{a}, \mathbf{b}, \mathbf{c}) \begin{pmatrix} x \\ y \\ z \end{pmatrix} = x\mathbf{a} + y\mathbf{b} + z\mathbf{c},$$

is invariant if the origin of the coordinate system is not changed in the transformation (see example in Section 5.1.3).

## 5.2.2.2. Modulus of position vector

The modulus  $r$  of the position vector  $\mathbf{r}$  gives the distance of the point  $x, y, z$  from the origin. Its square is obtained by the scalar product

$$\begin{aligned} \mathbf{r}^t \cdot \mathbf{r} = r^2 &= (x, y, z) \begin{pmatrix} \mathbf{a} \\ \mathbf{b} \\ \mathbf{c} \end{pmatrix} (\mathbf{a}, \mathbf{b}, \mathbf{c}) \begin{pmatrix} x \\ y \\ z \end{pmatrix} \\ &= (x, y, z) \mathbf{G} \begin{pmatrix} x \\ y \\ z \end{pmatrix} \\ &= x^2 a^2 + y^2 b^2 + z^2 c^2 + 2xyzbc \cos \alpha \\ &\quad + 2xzac \cos \beta + 2xyab \cos \gamma, \end{aligned}$$

with  $\mathbf{r}^t$  the transposed representation of  $\mathbf{r}$ ;  $a, b, c$  the moduli of the basis vectors  $\mathbf{a}, \mathbf{b}, \mathbf{c}$  (lattice parameters);  $\mathbf{G}$  the metric matrix of direct space; and  $\alpha, \beta, \gamma$  the angles of the unit cell.

The same considerations apply to the vector  $\mathbf{r}^*$  in reciprocal space and its modulus  $r^*$ . Here,  $\mathbf{G}^*$  is applied. Note that  $\mathbf{r}^*$  and  $r^*$  are independent of the choice of the origin in direct space.

## 5.2.2.3. Metric matrix

The metric matrix  $\mathbf{G}$  of the unit cell in the direct lattice

$$\mathbf{G} = \begin{pmatrix} \mathbf{a} \cdot \mathbf{a} & \mathbf{a} \cdot \mathbf{b} & \mathbf{a} \cdot \mathbf{c} \\ \mathbf{b} \cdot \mathbf{a} & \mathbf{b} \cdot \mathbf{b} & \mathbf{b} \cdot \mathbf{c} \\ \mathbf{c} \cdot \mathbf{a} & \mathbf{c} \cdot \mathbf{b} & \mathbf{c} \cdot \mathbf{c} \end{pmatrix} = \begin{pmatrix} aa & ab \cos \gamma & ac \cos \beta \\ ba \cos \gamma & bb & bc \cos \alpha \\ ca \cos \beta & cb \cos \alpha & cc \end{pmatrix}$$

changes under a linear transformation, but  $\mathbf{G}$  is invariant under a symmetry operation of the lattice. The volume of the unit cell  $V$  is obtained by

$$V^2 = \det(\mathbf{G}).$$

The same considerations apply to the metric matrix  $\mathbf{G}^*$  of the unit cell in the reciprocal lattice and the volume  $V^*$  of the reciprocal-lattice unit cell. Thus, there are two invariants under an affine transformation, the product

$$VV^* = 1$$

and the product

$$\mathbf{G}\mathbf{G}^* = I.$$

## 5.2.2.4. Scalar product

The scalar product

$$\mathbf{r}^* \cdot \mathbf{r} = hx + ky + lz$$

of the vector  $\mathbf{r}^*$  in reciprocal space with the vector  $\mathbf{r}$  in direct space is invariant under a linear transformation but not under a shift of origin in direct space.

A vector  $\mathbf{r}$  in direct space can also be represented as a product of augmented matrices:

$$\mathbf{r} = (\mathbf{a}, \mathbf{b}, \mathbf{c}, 0) \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix} = x\mathbf{a} + y\mathbf{b} + z\mathbf{c}.$$

As stated above, the basis vectors are transformed only by the linear part, even in the case of a general affine transformation. Thus, the transformed position vector  $\mathbf{r}'$  is obtained by

$$\mathbf{r}' = (\mathbf{a}, \mathbf{b}, \mathbf{c}, 0) \begin{pmatrix} \mathbf{P} & \mathbf{o}^t \\ \mathbf{o} & 1 \end{pmatrix} \begin{pmatrix} \mathbf{Q} & \mathbf{q} \\ \mathbf{o} & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix}.$$

The shift  $\mathbf{p}$  is set to zero. The shift of origin is contained in the matrix  $\mathbf{Q}$  only.

Similarly, a vector in reciprocal space can be represented by

$$\mathbf{r}^* = (h, k, l, 1) \begin{pmatrix} \mathbf{a}^* \\ \mathbf{b}^* \\ \mathbf{c}^* \\ 0 \end{pmatrix} = h\mathbf{a}^* + k\mathbf{b}^* + l\mathbf{c}^*.$$

The coordinates  $h, k, l$  in reciprocal space transform also only linearly. Thus,

$$\mathbf{r}'^* = (h, k, l, 1) \begin{pmatrix} \mathbf{P} & \mathbf{o}^t \\ \mathbf{o} & 1 \end{pmatrix} \begin{pmatrix} \mathbf{Q} & \mathbf{q} \\ \mathbf{o} & 1 \end{pmatrix} \begin{pmatrix} \mathbf{a}^* \\ \mathbf{b}^* \\ \mathbf{c}^* \\ 0 \end{pmatrix}.$$

The reader can see immediately that the scalar product  $\mathbf{r}^* \cdot \mathbf{r}$  transforms correctly.

## 5.2.3. Example: low cristobalite and high cristobalite

The positions of the silicon atoms in the low-cristobalite structure (Nieuwenkamp, 1935) are compared with those of the high-cristobalite structure (Wyckoff, 1925; cf. Megaw, 1973). At low temperatures, the space group is  $P4_12_12$  (92). The four silicon atoms are located in Wyckoff position  $4(a)$  ..2 with the coordinates  $x, x, 0; \bar{x}, \bar{x}, \frac{1}{2}, \frac{1}{2} - x, \frac{1}{2} + x, \frac{1}{4}; \frac{1}{2} + x, \frac{1}{2} - x, \frac{3}{4}; x = 0.300$ . During the phase transition, the tetragonal structure is transformed into a cubic one with space group  $Fd\bar{3}m$  (227). It is listed in the space-group tables with two different origins. We use ‘Origin choice 1’ with point symmetry  $\bar{4}3m$  at the origin. The silicon atoms occupy the position  $8(a)$   $43m$  with the coordinates  $0, 0, 0; \frac{1}{4}, \frac{1}{4}, \frac{1}{4}$  and those related by the face-centring translations. In the diamond structure, the carbon atoms occupy the same position.

In order to compare the two structures, the conventional  $P$  cell of space group  $P4_12_12$  (92) is transformed to an unconventional  $C$  cell (cf. Section 4.3.4), which corresponds to the  $F$  cell of  $Fd\bar{3}m$  (227). The  $P$  and the  $C$  cells are shown in Fig. 5.2.3.1. The coordinate system  $\mathbf{a}', \mathbf{b}', \mathbf{c}'$  with origin  $O'$  of the  $C$  cell is obtained from that of