

## 1.5. CLASSIFICATION OF SPACE-GROUP REPRESENTATIONS

references therein. In this section, matrix representations  $\Gamma$  of finite groups  $\mathcal{G}$  are considered. The concepts of *homomorphism* and *matrix groups* are of essential importance.

A group  $\mathcal{B}$  is a homomorphic image of a group  $\mathcal{A}$  if there exists a mapping of the elements  $A_i$  of  $\mathcal{A}$  onto the elements  $B_k$  of  $\mathcal{B}$  that preserves the multiplication relation (in general several elements of  $\mathcal{A}$  are mapped onto one element of  $\mathcal{B}$ ): if  $A_i \rightarrow B_i$  and  $A_k \rightarrow B_k$ , then  $A_i A_k \rightarrow B_i B_k$  holds for all elements of  $\mathcal{A}$  and  $\mathcal{B}$  (the image of the product is equal to the product of the images). In the special case of a one-to-one mapping, the homomorphism is called an *isomorphism*.

A matrix group is a group whose elements are non-singular square matrices. The law of combination is matrix multiplication and the group inverse is the inverse matrix. In the following we will be concerned with some basic properties of finite matrix groups relevant to representations.

Let  $\mathcal{M}_1$  and  $\mathcal{M}_2$  be two matrix groups whose matrices are of the same dimension. They are said to be equivalent if there exists a (non-singular) matrix  $S$  such that  $\mathcal{M}_2 = S^{-1} \mathcal{M}_1 S$  holds. Equivalence implies isomorphism but the inverse is not true: two matrix groups may be isomorphic without being equivalent. According to the theorem of Schur–Auerbach, every finite matrix group is equivalent to a unitary matrix group (by a unitary matrix group we understand a matrix group consisting entirely of unitary matrices).

A matrix group  $\mathcal{M}$  is *reducible* if it is equivalent to a matrix group in which every matrix  $M$  is of the form

$$R = \begin{pmatrix} D_1 & X \\ O & D_2 \end{pmatrix},$$

see e.g. Lomont (1959), p. 47. The group  $\mathcal{M}$  is *completely reducible* if it is equivalent to a matrix group in which for all matrices  $R$  the submatrices  $X$  are  $O$  matrices (consisting of zeros only). According to the theorem of Maschke, a finite matrix group is completely reducible if it is reducible. A matrix group is *irreducible* if it is not reducible.

A (matrix) representation  $\Gamma(\mathcal{G})$  of a group  $\mathcal{G}$  is a homomorphic mapping of  $\mathcal{G}$  onto a matrix group  $\mathcal{M}(\mathcal{G})$ . In a representation  $\Gamma$  every element  $G \in \mathcal{G}$  is associated with a matrix  $M(G)$ . The dimension of the matrices is called the dimension of the representation.

The above-mentioned theorems on finite matrix groups can be applied directly to representations: we can restrict the considerations to unitary representations only. Further, since every finite matrix group is either completely reducible into irreducible constituents or irreducible, it follows that the infinite set of all matrix representations of a group is known in principle once the irreducible representations are known. Naturally, the question of how to construct all nonequivalent irreducible representations of a finite group and how to classify them arises.

Linear representations are especially important for applications. In this chapter only linear representations of space groups will be considered. Realizations and representations are homomorphic images of abstract groups, but not all of them are linear. In particular, the action of space groups on point space is a nonlinear realization of the abstract space groups because isometries and thus symmetry operations  $W$  of space groups  $\mathcal{G}$  are nonlinear operations. The same holds for their description by matrix-column pairs  $(W, w)$ ,<sup>†</sup> by the general position, or by augmented  $(4 \times 4)$  matrices, see *IT A*, Part 8. Therefore, the isomorphic matrix representation of a space group, mostly used by crystallographers and listed in the space-group tables of *IT A* as the general position, is not linear.

## 1.5.3.2. Space groups

In crystallography one deals with real crystals. In many cases the treatment of the crystal is much simpler, but nevertheless describes the crystal and its properties very well, if the real crystal is replaced by an ‘ideal crystal’. The real crystal is then considered to be a finite piece of an undisturbed, periodic, and thus infinitely extended arrangement of particles or their centres: ideal crystals are periodic objects in three-dimensional point space  $E^3$ , also called direct space. Periodicity means that there are translations among the symmetry operations of ideal crystals. The symmetry group of an ideal crystal is called its space group  $\mathcal{G}$ .

Space groups  $\mathcal{G}$  are of special interest for our problem because:

- (1) their irreps are the subject of the classification to be discussed;
- (2) this classification makes use of the isomorphism of certain groups to the so-called symmorphic space groups  $\mathcal{G}_0$ .

Therefore, space groups are introduced here in a slightly more detailed manner than the other concepts. In doing this we follow the definitions and symbolism of *IT A*, Part 8.

To each space group  $\mathcal{G}$  belongs an infinite set  $\mathcal{T}$  of translations, the *translation lattice* of  $\mathcal{G}$ . The lattice  $\mathcal{T}$  forms an infinite Abelian invariant subgroup of  $\mathcal{G}$ . For each translation its translation vector is defined. The set of all translation vectors is called the *vector lattice*  $\mathbf{L}$  of  $\mathcal{G}$ . Because of the finite size of the atoms constituting the real crystal, the lengths of the translation vectors of the ideal crystal cannot be arbitrarily small; rather there is a lower limit  $\delta > 0$  for their length in the range of a few Å.

When referred to a coordinate system  $(O, \mathbf{a}_1, \mathbf{a}_2, \mathbf{a}_3)$ , consisting of an origin  $O$  and a basis  $\mathbf{a}_k$ , the elements  $W$ , i.e. the symmetry operations of the space group  $\mathcal{G}$ , are described by matrix-column pairs  $(W, w)$  with matrix part  $W$  and column part  $w$ . The translations of  $\mathcal{G}$  are represented by pairs  $(I, t_i)$ , where  $I$  is the  $(3 \times 3)$  unit matrix and  $t_i$  is the column of coefficients of the translation vector  $\mathbf{t}_i \in \mathbf{L}$ . The basis can always be chosen such that all columns  $\mathbf{t}_i$  and no other columns of translations consist of integers. Such a basis  $\mathbf{p}_1, \mathbf{p}_2, \mathbf{p}_3$  is called a *primitive basis*. For each vector lattice  $\mathbf{L}$  there exists an infinite number of primitive bases.

The space group  $\mathcal{G}$  can be decomposed into left cosets relative to  $\mathcal{T}$ :

$$\mathcal{G} = \mathcal{T} \cup (W_2, w_2)\mathcal{T} \cup \dots \cup (W_i, w_i)\mathcal{T} \cup \dots \cup (W_n, w_n)\mathcal{T}. \quad (1.5.3.1)$$

The coset representatives form the finite set  $V = \{(W_v, w_v)\}, v = 1, \dots, n$ , with  $(W_1, w_1) = (I, o)$ , where  $o$  is the column consisting of zeros only. The factor group  $\mathcal{G}/\mathcal{T}$  is isomorphic to the *point group*  $\mathcal{P}$  of  $\mathcal{G}$  (called  $\bar{\mathcal{G}}$  in books on representation theory) describing the symmetry of the external shape of the macroscopic crystal and being represented by the matrices  $W_1, W_2, \dots, W_n$ . If  $V$  can be chosen such that all  $w_v = o$ , then  $\mathcal{G}$  is called a *symmorphic space group*  $\mathcal{G}_0$ . A symmorphic space group can be recognized easily from its conventional Hermann–Mauguin symbol which does not contain any screw or glide component. In terms of group theory, a symmorphic space group is the semidirect product of  $\mathcal{T}$  and  $\mathcal{P}$ , cf. *BC*, p. 44. In symmorphic space groups  $\mathcal{G}_0$  (and in no others) there are site-symmetry groups which are isomorphic to the point group  $\mathcal{P}$  of  $\mathcal{G}_0$ .

Space groups can be classified into 219 (*affine*) *space-group types* either by isomorphism or by affine equivalence; the 230 *crystallographic* space-group types are obtained by restricting the transformations available for affine equivalence to those with positive determinant, cf. *IT A*, Section 8.2.1. Many important properties of space groups are shared by all space groups of a type. In such a case one speaks of *properties of the type*. For example, if a space group is symmorphic, then all space groups of its type are

<sup>†</sup> In physics often written as the Seitz symbol  $(W|w)$ .

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symmorphic, so that one normally speaks of a symmorphic space-group type.

With the concept of symmorphic space groups one can also define the arithmetic crystal classes: Let  $\mathcal{G}_0$  be a symmorphic space group referred to a primitive basis and  $V = \{(\mathbf{W}_v, \mathbf{w}_v)\}$  its set of coset representatives with  $\mathbf{w}_v = \mathbf{o}$  for all columns. To  $\mathcal{G}_0$  all those space groups  $\mathcal{G}$  can be assigned for which a primitive basis can be found such that the matrix parts  $\mathbf{W}_v$  of their sets  $V$  are the same as those of  $\mathcal{G}_0$ , only the columns  $\mathbf{w}_v$  may differ. In this way, to a type of symmorphic space groups  $\mathcal{G}_0$ , other types of space groups are assigned, *i.e.* the space-group types are classified according to the symmorphic space-group types. These classes are called *arithmetic crystal classes* of space groups or of space-group types.

There are 73 arithmetic crystal classes corresponding to the 73 types of symmorphic space groups; between 1 and 16 space-group types belong to an arithmetic crystal class. A matrix-algebraic definition of arithmetic crystal classes and a proposal for their nomenclature can be found in *IT A*, Section 8.2.2; see also Section 8.3.4 and Table 8.2.

### 1.5.3.3. Representations of the translation group $\mathcal{T}$ and the reciprocal lattice

For representation theory we follow the terminology of BC and CDML.

Let  $\mathcal{G}$  be referred to a primitive basis. For the following, the infinite set of translations, based on discrete cyclic groups of infinite order, will be replaced by a (very large) finite set in the usual way. One assumes the Born–von Karman boundary conditions

$$(\mathbf{I}, t_{bi})^{N_i} = (\mathbf{I}, N_i) = (\mathbf{I}, \mathbf{o}) \quad (1.5.3.2)$$

to hold, where  $t_{bi} = (1, 0, 0)$ ,  $(0, 1, 0)$  or  $(0, 0, 1)$  and  $N_i$  is a large integer for  $i = 1, 2$  or  $3$ , respectively. Then for any lattice translation  $(\mathbf{I}, \mathbf{t})$ ,

$$(\mathbf{I}, N\mathbf{t}) = (\mathbf{I}, \mathbf{o}) \quad (1.5.3.3)$$

holds, where  $N\mathbf{t}$  is the column  $(N_1t_1, N_2t_2, N_3t_3)$ . If the (infinitely many) translations mapped in this way onto  $(\mathbf{I}, \mathbf{o})$  form a normal subgroup  $\mathcal{T}_1$  of  $\mathcal{G}$ , then the mapping described by (1.5.3.3) is a homomorphism. There exists a factor group  $\mathcal{G}' = \mathcal{G}/\mathcal{T}_1$  of  $\mathcal{G}$  relative to  $\mathcal{T}_1$  with translation subgroup  $\mathcal{T}' = \mathcal{T}/\mathcal{T}_1$  which is finite and is sometimes called the *finite space group*.

Only the irreducible representations (irreps) of these finite space groups will be considered. The definitions of space-group type, symmorphic space group *etc.* can be transferred to these groups. Because  $\mathcal{T}$  is Abelian,  $\mathcal{T}'$  is also Abelian. Replacing the space group  $\mathcal{G}$  by  $\mathcal{G}'$  means that the especially well developed theory of representations of finite groups can be applied, *cf.* Lomont (1959), Jansen & Boon (1967). For convenience, the prime ' will be omitted and the symbol  $\mathcal{G}$  will be used instead of  $\mathcal{G}'$ ;  $\mathcal{T}'$  will be denoted by  $\mathcal{T}$  in the following.

Because  $\mathcal{T}$  (formerly  $\mathcal{T}'$ ) is Abelian, its irreps  $\Gamma(\mathcal{T})$  are one-dimensional and consist of (complex) roots of unity. Owing to equations (1.5.3.2) and (1.5.3.3), the irreps  $\Gamma^{q_1q_2q_3}[(\mathbf{I}, \mathbf{t})]$  of  $\mathcal{T}$  have the form

$$\Gamma^{q_1q_2q_3}[(\mathbf{I}, \mathbf{t})] = \exp \left[ -2\pi i \left( q_1 \frac{t_1}{N_1} + q_2 \frac{t_2}{N_2} + q_3 \frac{t_3}{N_3} \right) \right], \quad (1.5.3.4)$$

where  $\mathbf{t}$  is the column  $(t_1, t_2, t_3)$ ,  $q_j = 0, 1, 2, \dots, N_j - 1$ ,  $j = 1, 2, 3$ , and  $t_k$  and  $q_j$  are integers.

Given a primitive basis  $\mathbf{a}_1, \mathbf{a}_2, \mathbf{a}_3$  of  $\mathbf{L}$ , mathematicians and crystallographers define the *basis of the dual or reciprocal lattice*  $\mathbf{L}^*$  by

$$\mathbf{a}_i \cdot \mathbf{a}_j^* = \delta_{ij}, \quad (1.5.3.5)$$

where  $\mathbf{a} \cdot \mathbf{a}^*$  is the scalar product between the vectors and  $\delta_{ij}$  is the unit matrix (see *e.g.* Chapter 1.1, Section 1.1.3). Texts on the physics of solids redefine the basis  $\mathbf{a}_1^*, \mathbf{a}_2^*, \mathbf{a}_3^*$  of the *reciprocal lattice*  $\mathbf{L}^*$ , lengthening each of the basis vectors  $\mathbf{a}_j^*$  by the factor  $2\pi$ . Therefore, in the physicist's convention the relation between the bases of direct and reciprocal lattice reads (*cf.* BC, p. 86):

$$\mathbf{a}_i \cdot \mathbf{a}_j^* = 2\pi\delta_{ij}. \quad (1.5.3.6)$$

In the present chapter only the physicist's basis of the reciprocal lattice is employed, and hence the use of  $\mathbf{a}_j^*$  should not lead to misunderstandings. The set of all vectors  $\mathbf{K}_i^\dagger$

$$\mathbf{K} = k_1\mathbf{a}_1^* + k_2\mathbf{a}_2^* + k_3\mathbf{a}_3^*, \quad (1.5.3.7)$$

$k_i$  integer, is called the lattice reciprocal to  $\mathbf{L}$  or the *reciprocal lattice*  $\mathbf{L}^*$ .<sup>‡</sup>

If one adopts the notation of *IT A*, Part 5, the basis of direct space is denoted by a row  $(\mathbf{a}_1, \mathbf{a}_2, \mathbf{a}_3)^T$ , where  $()^T$  means transposed. For reciprocal space, the basis is described by a column  $(\mathbf{a}_1^*, \mathbf{a}_2^*, \mathbf{a}_3^*)$ .

To each lattice generated from a basis  $(\mathbf{a}_i)^T$  a reciprocal lattice is generated from the basis  $(\mathbf{a}_i^*)$ . Both lattices,  $\mathbf{L}$  and  $\mathbf{L}^*$ , can be compared most easily by referring the direct lattice  $\mathbf{L}$  to its *conventional* basis  $(\mathbf{a}_i)^T$  as defined in Chapters 2.1 and 9.1 of *IT A*. In this case, the lattice  $\mathbf{L}$  may be primitive or centred. If  $(\mathbf{a}_i)^T$  forms a primitive basis of  $\mathbf{L}$ , *i.e.* if  $\mathbf{L}$  is primitive, then the basis  $(\mathbf{a}_i^*)$  forms a primitive basis of  $\mathbf{L}^*$ . If  $\mathbf{L}$  is centred, *i.e.*  $(\mathbf{a}_i)^T$  is not a primitive basis of  $\mathbf{L}$ , then there exists a centring matrix  $\mathbf{P}$ ,  $0 < \det(\mathbf{P}) < 1$ , by which three linearly independent vectors of  $\mathbf{L}$  with rational coefficients are generated from those with integer coefficients, *cf.* *IT A*, Table 5.1.

Moreover,  $\mathbf{P}$  can be chosen such that the set of vectors

$$(\mathbf{p}_1, \mathbf{p}_2, \mathbf{p}_3)^T = (\mathbf{a}_1, \mathbf{a}_2, \mathbf{a}_3)^T \mathbf{P} \quad (1.5.3.8)$$

forms a primitive basis of  $\mathbf{L}$ . Then the basis vectors  $(\mathbf{p}_1^*, \mathbf{p}_2^*, \mathbf{p}_3^*)$  of the lattice reciprocal to the lattice generated by  $(\mathbf{p}_1, \mathbf{p}_2, \mathbf{p}_3)^T$  are determined by

$$(\mathbf{p}_1^*, \mathbf{p}_2^*, \mathbf{p}_3^*) = \mathbf{P}^{-1}(\mathbf{a}_1^*, \mathbf{a}_2^*, \mathbf{a}_3^*) \quad (1.5.3.9)$$

and form a primitive basis of  $\mathbf{L}^*$ .

Because  $\det(\mathbf{P}^{-1}) > 1$ , not all vectors  $\mathbf{K}$  of the form (1.5.3.7) belong to  $\mathbf{L}^*$ . If  $k_1, k_2, k_3$  are the (integer) coefficients of these vectors  $\mathbf{K}$  referred to  $(\mathbf{a}_i^*)$  and  $k_{p1}\mathbf{p}_1^* + k_{p2}\mathbf{p}_2^* + k_{p3}\mathbf{p}_3^*$  are the vectors of  $\mathbf{L}^*$ , then  $\mathbf{K} = (k_j)^T(\mathbf{a}_j^*) = (k_j)^T\mathbf{P}(\mathbf{p}_j^*) = (k_{pi})^T(\mathbf{p}_i^*)$  is a vector of  $\mathbf{L}^*$  if and only if the coefficients

$$(k_{p1}, k_{p2}, k_{p3})^T = (k_1, k_2, k_3)^T \mathbf{P} \quad (1.5.3.10)$$

are integers. In other words,  $(k_1, k_2, k_3)^T$  has to fulfil the equation

$$(k_1, k_2, k_3)^T = (k_{p1}, k_{p2}, k_{p3})^T \mathbf{P}^{-1}. \quad (1.5.3.11)$$

As is well known, the Bravais type of the reciprocal lattice  $\mathbf{L}^*$  is not necessarily the same as that of its direct lattice  $\mathbf{L}$ . If  $\mathbf{W}$  is the matrix of a (point-) symmetry operation of the direct lattice, referred to its basis  $(\mathbf{a}_i)^T$ , then  $\mathbf{W}^{-1}$  is the matrix of the same symmetry operation of the reciprocal lattice but referred to the dual basis  $(\mathbf{a}_i^*)$ . This does not affect the symmetry because in a (symmetry) group the inverse of each element in the group also belongs to the group. Therefore, the (point) symmetries of a lattice

<sup>†</sup> In crystallography vectors are designated by small bold-faced letters. With  $\mathbf{K}$  we make an exception in order to follow the tradition of physics. A crystallographic alternative would be  $\mathbf{k}^*$ .

<sup>‡</sup> The lattice  $\mathbf{L}$  is often called the *direct lattice*. These names are historically introduced and cannot be changed, although equations (1.5.3.5) and (1.5.3.6) show that essentially neither of the lattices is preferred: they form a pair of *mutually reciprocal lattices*.