### 3. CIF DATA DEFINITION AND CLASSIFICATION

HIV-1 protease structure (PDB 5HVP) described using data items in the EXPTL and EXPTL CRYSTAL categories. exptl.entry id 'SHVP' exptl.crystals number 1 exptl.method 'single-crystal x-ray diffraction' exptl.method details ; graphite monochromatized Cu K(alpha) fixed tube and Siemens multiwire detector used exptl crystal.id exptl crystal.colour 'colorless' exptl crystal.density percent sol0.57 exptl crystal.description 'rectangular plate' exptl crystal.size max 0.30 \_exptl\_crystal.size\_mid 0.20 exptl\_crystal.size\_min 0.05

Example 3.6.5.3. The crystal used in the determination of an

## 3.6.5.3.2. Crystal growth

The data items in these categories are as follows:

```
(a) EXPTL_CRYSTAL_GROW

    exptl crystal grow.crystal id

           exptl crystal.id
  exptl_crystal_grow.apparatus
 _exptl_crystal_grow.atmosphere
  exptl_crystal_grow.details
  exptl_crystal_grow.method
  exptl_crystal_grow.method_ref
  exptl crystal grow.pH
  __exptl_crystal_grow.pressure
  exptl crystal grow.seeding
  exptl_crystal_grow.seeding_ref
  _exptl_crystal_grow.temp
  _exptl_crystal_grow.temp_details
  exptl crystal grow.time
(b) EXPTL CRYSTAL GROW COMP
 \tt \_exptl\_crystal\_grow\_comp.crystal\_id
           _exptl_crystal.id
 _exptl_crystal_grow_comp.id
  exptl crystal grow comp.conc
  exptl crystal grow comp.details
  exptl_crystal_grow comp.name
  exptl_crystal_grow_comp.sol_id
  _exptl_crystal_grow_comp.volume
```

The bullet (•) indicates a category key. Where multiple items within a category are marked with a bullet, they must be taken together to form a compound key. The  $arrow (\rightarrow)$  is a reference to a parent data item. Data items marked with a plus (+)have companion data names for the standard uncertainty in the reported value, formed by appending the string \_esd to the data name listed.

Crystallization strategies and protocols are very varied and may not lend themselves to a formal tabulation. Common or well defined techniques may be indicated using the data item \_exptl\_crystal\_grow.method, and a literature reference, where appropriate, may be given using exptl crystal grow.method ref. Frequently, however, a detailed description of methodology is required; this can be given in exptl crystal grow.details. Example 3.6.5.4 shows how information about strategies that were attempted and proved unsuccessful can be recorded. In circumstances such as this, the data item \_exptl\_crystal\_grow.pH would record the final pH.

Where the crystallization protocol is well defined, it is useful to list the individual components of the solution in the category EXPTL CRYSTAL GROW COMP. Example 3.6.5.4 labels the solutions used as 1 and 2, in accordance with the convention that solution 1 contains the molecule to be crystallized and solution 2 (and if necessary additional solutions) contains the precipitant. However, it is permissible and may be preferable to use more explicit labels such as 'well solution' in the \_exptl\_crystal\_grow\_comp.sol\_id field.

```
Example 3.6.5.4. The growth of HIV-1 protease crystals (PDB
  5HVP) described with data items in the EXPTL CRYST GROW
  and EXPTL_CRYSTAL_GROW_COMP categories.
 exptl crystal grow.crystal id
exptl crystal grow.method
                                   'hanging drop'
                                   'Linbro plates'
exptl crystal grow.apparatus
exptl crystal grow.atmosphere
                                   'room air'
_exptl_crystal_grow.pH
                                   4.7
_exptl_crystal_grow.temp
                                   18(3)
{\tt _exptl\_crystal\_grow.time}
                            'approximately 2 days'
exptl crystal grow.details
; The dependence on pH for successful crystal growth
  is very sharp. At pH 7.4 only showers of tiny
  crystals grew, at pH 7.5 well formed single
  crystals grew, at pH 7.6 no crystallization
  occurred at all.
  _exptl_crystal_grow_comp.crystal_id
  exptl crystal grow comp.id
  exptl crystal grow comp.sol id
  _exptl_crystal_grow_comp.name
  _exptl_crystal_grow_comp.volume
  _exptl_crystal_grow_comp.conc
  exptl_crystal_grow_comp.details
  1 1 1 'HIV-1 protease'
                            '0.002 ml'
                                         '6 mg/ml'
 The protein solution was in a buffer containing
  25 mM NaCl, 100 mM NaMES/MES buffer, pH 7.5,
  3 mM NaAzide
 1 2 2 'NaCl' '0.200 ml' '4 M'
  'in 3 mM NaAzide'
  1 3 2 'Acetic Acid' '0.047 ml'
                                      '100 mM'
  'in 3 mM NaAzide'
  1 4 2 'Na Acetate' '0.053 ml' '100 mM'
; in 3 mM NaAzide. Buffer components were mixed
  to produce a pH of 4.7 according to a ratio
  calculated from the pKa. The actual pH of
  solution 2 was not measured.
  1 5 2 'water'
                    '0.700 ml'
 'in 3 mM NaAzide'
```

### 3.6.6. Analysis

The mmCIF dictionary contributes several new categories and data items to the REFINE and REFLN category groups. These reflect common practices in macromolecular crystallography in refinement and in the handling of experimental observations.

A new category group, the PHASING group, has been introduced to provide a structured description of phasing strategies, as macromolecular crystallography differs strongly from small-molecule crystallography in how phases are determined. The data model for phasing in the current version of the mmCIF dictionary cannot describe all approaches to phasing yet. Additions and revisions to the data items in the PHASING group of categories are anticipated in future versions of the dictionary.

### **3.6.6.1. Phasing**

```
The categories describing phasing are as follows:
PHASING group
Overall description of phasing (§3.6.6.1.1)
  PHASING
Phasing via molecular averaging (§3.6.6.1.2)
  PHASING AVERAGING
Phasing via isomorphous replacement (§3.6.6.1.3)
  PHASING ISOMORPHOUS
Phasing via multiple-wavelength anomalous dispersion
  (\S 3.6.6.1.4)
  PHASING MAD
  PHASING MAD CLUST
```

#### 3.6. CLASSIFICATION AND USE OF MACROMOLECULAR DATA

```
PHASING_MAD_EXPT
PHASING_MAD_RATIO
PHASING_MAD_SET

Phasing via multiple isomorphous replacement (§3.6.6.1.5)
PHASING_MIR
PHASING_MIR_DER
PHASING_MIR_DER_REFLN
PHASING_MIR_DER_SHELL
PHASING_MIR_DER_SITE
PHASING_MIR_DER_SHELL

Phasing data sets (§3.6.6.1.6)
PHASING_SET
PHASING_SET_REFLN
```

The data items in the PHASING category group can be used to record details about the phasing of the structure and cover the various methods used in the phasing process. Many data items are provided for multiple isomorphous replacement (MIR) and multiple-wavelength anomalous dispersion (MAD). More limited sets of data items are provided for phasing using molecular averaging and phasing *via* using a structure that is isomorphous to the present structure. The current version of the mmCIF dictionary does not provide specific data items for recording the details of phasing *via* molecular replacement.

### 3.6.6.1.1. Overall description of phasing

The single data item in this category is as follows: PHASING

• phasing.method

The bullet (•) indicates a category key.

Phasing of macromolecular structures often involves the application of more than one of the methods described in the PHASING section of the mmCIF dictionary, such as when phases generated from a multiple isomorphous replacement experiment are improved by molecular averaging. The PHASING category is used to list the methods that were used.

At present, the category contains a single data item, the purpose of which is to specify the method employed in the structure determination. It may have one or more of the values listed in the dictionary (Example 3.6.6.1).

# 3.6.6.1.2. Phasing via molecular averaging

The data items in this category are as follows:

PHASING\_AVERAGING

• \_phasing\_averaging.entry\_id

→ \_entry.id
 \_phasing\_averaging.details
 phasing\_averaging.method

The bullet (ullet) indicates a category key. The arrow  $(\rightarrow)$  is a reference to a parent data item.

When more than one copy of a molecule is present in the asymmetric unit, phases can be improved by averaging an electron-density map over the multiple images of the molecule. In some special cases with very high noncrystallographic symmetry, *de novo* phases have been derived by iterative application of molecular averaging, but more often averaging is used to improve phases determined by another method.

There are many protocols used for phasing with averaging and they are very varied. It was not thought to be appropriate to specify data items for any one approach in the current version of the mmCIF dictionary. The data items that are provided allow a text-based description of the protocol to be given; a formalism

```
Example 3.6.6.1. The methods used to generate the phases for a hypothetical structure described with the data item in the PHASING category.

loop___phasing.method
'mir'
'averaging'
```

```
Example 3.6.6.2. Phase improvement with molecular averaging for a hypothetical structure described with data items in the PHASING_AVERAGING category.

_phasing_averaging.entry_id 'EXAMHYPO'
_phasing_averaging.method;
Iterative threefold averaging alternating with phase extensions by 0.5 reciprocal lattice units per cycle.;

_phasing_averaging.details;
The position of the threefold axis was redetermined every five cycles.;
```

for recording a fully parsable description of molecular averaging needs to be developed for future revisions of the dictionary.

Data items in the PHASING\_AVERAGING category allow free-text descriptions to be given of the method used for structure determination or phase improvement using averaging over multiple observations of the molecule in the asymmetric unit and of any specific details of the application of the method to the current structure determination (Example 3.6.6.2). Note that the reference to the method is to be used to describe the method itself, and not as a reference to a software package; references to software packages would be made using data items in the SOFTWARE category.

### 3.6.6.1.3. Phasing via isomorphous replacement

The data items in this category are as follows:

The bullet (ullet) indicates a category key. The arrow  $(\rightarrow)$  is a reference to a parent data item

Phases for many macromolecular structures are obtained from a previous determination of the same structure in the same crystal lattice. Examples of this are the determination of the structure of a point mutant or the determination of a structure in which a ligand is bound to an active site that was empty in the previous structure determination. In these cases, the new structure is essentially isomorphous with the parent structure, hence this method of phasing is termed 'isomorphous phasing' in the mmCIF dictionary. It is not to be confused with multiple isomorphous phasing (MIR), a phasing technique that involves the use of heavy-atom derivatives. MIR phasing is discussed in Section 3.6.6.1.5.

Not much information is needed to characterize isomorphous phasing. The 'parent' structure (the structure used to generate the initial phases for the present structure) is described in a free-text field and a second free-text field can be used to give details of the application of the method to the determination of the present structure (for instance, the removal of solvent or a bound ligand). In Example 3.6.6.3, the parent structure is the PDB entry 5HVP and the structure that is the subject of the present data block is identified as 'HVP+CmpdA'. phasing isomorphous.method allows

```
Example 3.6.6.3. Isomorphous replacement phasing of an
  HIV-1 protease structure described using data items in the
  PHASING ISOMORPHOUS category.
_phasing_isomorphous.entry_id
                                  'HVP+CmpdA'
                                  'PDB entry 5HVP'
phasing isomorphous.parent
phasing isomorphous.details
 The inhibitor and all solvent atoms were removed
 from the parent structure before beginning
 refinement. All static disorder present in the
 parent structure was also removed.
```

any formal techniques that were used in the application of the method to the present structure determination to be described, for example rigid-body refinement. Note that this data item is not to be used to reference a software package; this would be done using data items in the SOFTWARE category.

3.6.6.1.4. Phasing via multiple-wavelength anomalous dispersion

```
The data items in these categories are as follows:
(a) PHASING MAD
 _phasing_MAD.entry_id
           entry.id
  phasing MAD.details
  _phasing_MAD.method
(b) PHASING MAD CLUST
 phasing MAD clust.expt id
          phasing MAD clust.expt id
 phasing MAD clust.id
  _phasing_MAD_clust.number_set
(c) PHASING MAD EXPT
 phasing MAD expt.id
  phasing MAD expt.delta_delta_phi
 _phasing_MAD_expt.delta_phi
 _phasing_MAD_expt.delta_phi_sigma
 phasing MAD expt.mean fom
  phasing MAD expt.number clust
 phasing MAD expt.R normal all
  phasing MAD expt.R normal anom scat
(d) PHASING MAD_RATIO
 _phasing_MAD_ratio.expt_id
           phasing MAD expt.id
 _phasing_MAD_ratio.clust_id
           phasing MAD clust.id
 phasing MAD ratio.wavelength 1
          phasing MAD set.wavelength
 phasing MAD ratio.wavelength 2
          _phasing_MAD_set.wavelength
  _phasing_MAD_ratio.d_res_high
 phasing MAD ratio.d res low
  phasing_MAD_ratio.ratio_one_wl
 phasing MAD ratio.ratio one wl centric
  phasing MAD ratio.ratio two wl
(e) PHASING_MAD_SET
 _phasing_MAD_set.clust_id
           _phasing_MAD_clust.id
 _phasing_MAD_set.expt_id
          phasing MAD expt.id
  phasing MAD set.set id
 _phasing_MAD_set.d_res_high
  phasing MAD set.d res low
  phasing MAD set.f double prime
  phasing MAD set.f prime
  phasing MAD set.wavelength details
```

The bullet (ullet) indicates a category key. Where multiple items within a category are marked with a bullet, they must be taken together to form a compound key. The  $arrow (\rightarrow)$  is a reference to a parent data item.

PHASING MAD and related categories are used to provide information about phasing using the multiple-wavelength anomalous

```
Example 3.6.6.4. MAD phasing of the structure of N-cadherin
  (Shapiro et al., 1995) described using data items in the PHAS-
  ING MAD and related categories.
phasing MAD.entry id
                           'NCAD'
loop
phasing MAD expt.id
phasing MAD expt.number clust
phasing MAD expt.R normal all
_phasing_MAD_expt.R_normal_anom_scat
phasing MAD expt.delta delta phi
_phasing_MAD_expt.mean_fom
  1 2 0.063 0.451
                      58.5
                            20.3
                                  0.88
     1 0.051 0.419 36.8
                            18.2
                                  0.93
loop
phasing MAD clust.id
phasing MAD clust.expt id
'four wavelength'
                    1 4
  five wavelength'
  'five wavelength'
loop
phasing MAD ratio.expt id
phasing MAD ratio.clust id
phasing MAD ratio.wavelength 1
phasing MAD ratio.wavelength 2
____phasing_MAD ratio.d res low
_phasing_MAD_ratio.d res high
___phasing_MAD_ratio.ratio_two_wl
_phasing_MAD_ratio.ratio_one_wl
_phasing_MAD_ratio.ratio_one_wl_centric
     four wavelength'
                        1.4013 1.4013
                                       20.00
                                             4.00
        . 0.084 0.076
     'four wavelength'
                        1.4013 1.3857 20.00
                                              4.00
     0.067
  1
      'four wavelength'
                        1.4013 1.3852 20.00 4.00
     0.051
  1
      'four wavelength'
                        1.4013 1.3847 20.00
                                             4.00
     0.044
      'four wavelength'
                        1.3857 1.3857
                                       20.00
                                              4.00
           0.110 0.049
     'four wavelength'
                        1.3857 1.3852 20.00 4.00
     0.049
 - - - abbreviated - - -
loop
phasing MAD set.expt_id
phasing MAD set.clust id
phasing MAD set.set id
_phasing_MAD_set.wavelength
phasing MAD set.wavelength details
phasing MAD set.d res low
phasing_MAD_set.d_res_high
phasing MAD set.f prime
___phasing_MAD_set.f_double_prime
   1 'four wavelength' aa 1.4013 'pre-edge'
                                            20.00
      3.00
            -12.48
                    3.80
   1 'four wavelength' bb 1.3857 'peak'
                                            20.00
      3.00. -31.22 17.20
     'four wavelength' cc 1.3852 'edge'
                                            20.00
      3.00
             -13.97 29.17
```

dispersion (MAD) technique. The data model used for MAD phasing in the current version of the mmCIF dictionary is that of Hendrickson, as exemplified in the structure determination of N-cadherin (Shapiro et al., 1995; Example 3.6.6.4). In current practice, MAD phasing is often treated as a special case of MIR phasing and the PHASING MIR categories would be more appropriate to describe the results.

Unlike the PHASING\_MIR categories, there is no provision in the current mmCIF model of MAD phasing for analysis of the overall phasing statistics and the contribution to the phasing of each data set by bins of resolution, and no provision for giving a list of the phased reflections. This will need to be addressed in future versions of the mmCIF dictionary.

### 3.6. CLASSIFICATION AND USE OF MACROMOLECULAR DATA

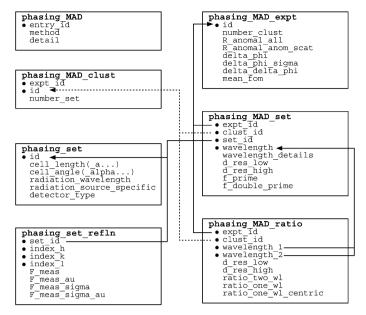


Fig. 3.6.6.1. The family of categories used to describe MAD phasing. Boxes surround categories of related data items. Data items that serve as category keys are preceded by a bullet (•). Lines show relationships between linked data items in different categories with arrows pointing at the parent data items.

The relationships between categories describing MAD phasing are shown in Fig. 3.6.6.1.

Data items in the PHASING MAD category allow a brief overview of the method that was used to be given and allow special aspects of the phasing strategy to be noted; data items in this category are analogous to the data items in the other overview categories describing phasing techniques.

In the data model for MAD phasing used in the present version of the mmCIF dictionary, a collection of data sets measured at different wavelengths can be used to construct more than one set of phases. These phase sets will produce electron-density maps with different local properties. The model of the structure is often constructed using information from a collection of these maps. The collections of multiple phase sets are referred to as 'experiments' and the groups of data sets that contribute to each experiment are referred to as 'clusters'. Data items in PHASING MAD EXPT identify each experiment and give the number of contributing clusters. Additional data items record the phase difference between the structure factors due to normal scattering from all atoms and from only the anomalous scatterers, the standard uncertainty of this quantity, the mean figure of merit, and a number of other indicators of the quality of the phasing.

Data items in the PHASING MAD CLUST category can be used to label the clusters of data sets and give the number of data sets allocated to each cluster. In Example 3.6.6.4 two experiments are described. The first experiment contains two clusters, one of which contains four data sets and the second of which contains five data sets. The second experiment contains a single cluster of five data sets. Note that the author has chosen informative labels to identify the clusters ('four wavelength', 'five wavelength'). Carefully chosen labels can help someone reading the mmCIF to trace the complex relationships between the categories.

Data items in the PHASING MAD RATIO category can be used to record the ratios of phasing statistics (Bijvoet differences) between pairs of data sets in a MAD phasing experiment, within shells of resolution characterized by \_phasing\_MAD\_ratio.d\_res\_high and \*.d res low.

The data sets used in the MAD phasing experiments are described using data items in the PHASING MAD SET category. Each data set is characterized by resolution shell and wavelength, and by the f' and f'' components of the anomalous scattering factor at that wavelength. The actual observations in each data set and the experimental conditions under which they were made are recorded using data items in the PHASING SET and PHAS-ING SET REFLN categories.

#### 3.6.6.1.5. Phasing via multiple isomorphous replacement

The data items in these categories are as follows:

```
(a) PHASING MIR
 _phasing_MIR.entry_id
           entry.id
 _phasing MIR.details
 _phasing_MIR.d_res_high
 _phasing_MIR.d_res_low
  phasing MIR.FOM
 _phasing_MIR.FOM acentric
  phasing MIR.FOM centric
  phasing MIR.method
 _phasing_MIR.reflns
  _phasing_MIR.reflns acentric
 _phasing_MIR.reflns_centric
  phasing MIR.reflns criterion
(b) PHASING MIR SHELL
 phasing MIR shell.d res high
 phasing MIR shell.d res low
  phasing_MIR_shell.FOM
 _phasing_MIR_shell.FOM acentric
 _phasing_MIR_shell.FOM_centric
 phasing MIR shell.loc
  phasing_MIR_shell.mean_phase
  phasing MIR shell.power
  phasing MIR shell.R cullis
  phasing MIR shell.R kraut
 _phasing_MIR_shell.reflns
  _phasing_MIR_shell.reflns_acentric
 _phasing_MIR_shell.reflns_anomalous
  phasing MIR shell.reflns centric
(c) PHASING MIR DER
 _phasing_MIR_der.id
  __phasing_MIR_der.d_res high
  phasing MIR der.d res low
 _phasing_MIR_der.der set id
           phasing_set.id
  _{	t phasing\_MIR\_der.details}
  phasing MIR der.native set id
           _phasing_set.id
  phasing MIR_der.number_of_sites
 _phasing_MIR_der.power acentric
 _phasing_MIR_der.power_centric
  ____phasing_MIR_der.R_cullis acentric
 _phasing_MIR_der.R_cullis_anomalous
  phasing MIR der.R cullis centric
 phasing MIR der.reflns acentric
  phasing MIR der.reflns anomalous
  _phasing_MIR_der.reflns_centric
  phasing MIR der.reflns criteria
(d) PHASING MIR DER REFLN
 phasing MIR der refln.der id
           _phasing_MIR_der.id
  _phasing_MIR_der_refln.index_h
 phasing MIR der refln.index k
 phasing MIR der refln.index 1
 phasing MIR der refln.set id
          phasing set.id
  _phasing_MIR_der_refln.F_calc
 _phasing_MIR_der_refln.F_calc_au
  _phasing_MIR_der_refln.F_meas
 _phasing_MIR_der_refln.F_meas_au
  phasing MIR der refln.F meas sigma
  phasing MIR der refln.F meas sigma au
  phasing MIR der refln.HL A iso
  phasing MIR der refln.HL B iso
```

 ${ t phasing MIR der refln.HL C iso}$ 

```
phasing MIR der refln.HL D iso
  phasing MIR der refln.phase calc
(e) PHASING MIR DER SHELL
 _phasing_MIR_der_shell.d_res_high
  phasing MIR der shell.d res low
 _phasing_MIR_der_shell.der_id
           phasing MIR der.id
  phasing_MIR_der_shell.fom
  _phasing_MIR_der_shell.ha_ampl
  _phasing_MIR_der_shell.loc
  _phasing_MIR_der_shell.phase
  phasing MIR der shell.power
  __phasing_MIR_der_shell.R_cullis
  phasing MIR der shell.R kraut
  phasing MIR der shell.reflns
(f) PHASING MIR DER SITE
 phasing MIR der site.der id
           phasing MIR der.id
  phasing MIR der site.id
  phasing MIR der site.atom type symbol
           _atom_type.symbol
  phasing MIR der site.B iso
  phasing MIR der site.Cartn x
  ____phasing_MIR_der_site.Cartn_y
  phasing MIR der site.Cartn z
  phasing MIR der site.details
  _phasing_MIR_der_site.fract x
  _phasing_MIR_der_site.fract_y
  _phasing_MIR_der_site.fract_z
  phasing MIR der site.occupancy
  phasing MIR der site.occupancy anom
  phasing MIR der site.occupancy anom su
  phasing MIR der site.occupancy iso
  phasing MIR der site.occupancy iso su
```

The bullet  $(\bullet)$  indicates a category key. Where multiple items within a category are marked with a bullet, they must be taken together to form a compound key. The arrow  $(\rightarrow)$  is a reference to a parent data item. Data items marked with a plus (+) have companion data names for the standard uncertainty in the reported value, formed by appending the string  $\_$ esd to the data name listed.

PHASING\_MIR and related categories provide information about phasing by methods involving multiple isomorphous replacement (MIR). These same categories may also be used to describe phasing by related techniques, such as single isomorphous replacement (SIR) and single or multiple isomorphous replacement plus anomalous scattering (SIRAS, MIRAS). The relationships between the categories describing MIR phasing are shown in Fig. 3.6.6.2.

As with the other overview categories described in this section, the PHASING\_MIR category contains data items that can be used for text-based descriptions of the method used and any special aspects of its application. There are also items for describing the resolution limit of the reflections that were phased, the figures of merit for all reflections and for the acentric reflections phased in the native data set, and the total numbers of reflections and their inclusion threshold in the native data set. Statistics for the phasing can be given by shells of resolution using data items in the PHASING\_MIR\_SHELL category.

An MIR phasing experiment involves one or more derivatives. The remaining categories in this group are used to describe aspects of each derivative (Example 3.6.6.5). A derivative in this context does not necessarily correspond to a data set; for instance, the same data set could be used to one resolution limit as an isomorphous scatterer and to a different resolution (and with a different sigma cutoff) as an anomalous scatterer. These would be treated as two distinct derivatives, although both derivatives would point to the same data sets via <code>\_phasing\_MIR\_der.native\_set\_id</code> (see Fig. 3.6.6.2).

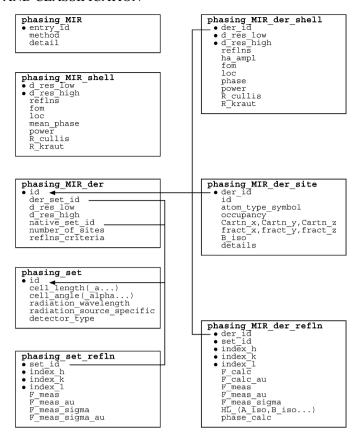


Fig. 3.6.6.2. The family of categories used to describe MIR phasing. Boxes surround categories of related data items. Data items that serve as category keys are preceded by a bullet (•). Lines show relationships between linked data items in different categories with arrows pointing at the parent data items.

Data items in the PHASING\_MIR\_DER category can be used to identify and describe each derivative. The resolution limits for the individual derivatives need not match those of the overall phasing experiment, as the phasing power of each derivative as a function of resolution will vary. Many of the statistical descriptors of phasing given in the PHASING\_MIR category are repeated in this category, as derivatives vary in quality and their contribution to the phasing must be assessed individually. These same statistical measures can be given for shells of resolution in the PHASING\_MIR\_DER\_SHELL category.

Data items in the PHASING\_MIR\_DER\_REFLN category can be used to provide details of each reflection used in an MIR phasing experiment. The pointer <code>\_phasing\_MIR\_der\_refln.set\_id</code> links the reflection to a particular set of experimental data and <code>\_phasing\_MIR\_der\_refln.der\_id</code> points to a particular derivative used in the phasing (as mentioned above, derivatives in this context do not equate to data sets). The phase assigned to each reflection and the measured and calculated values of its structure factor can be given. (It is not necessary to include the measured values of the structure factors in this list, since they are accessible in the PHASING\_SET\_REFLN category, but it may be convenient to present them here). Data items are also provided for the A, B, C and D phasing coefficients of Hendrickson & Lattman (1970).

The heavy atoms identified in each derivative can be listed using data items in the PHASING\_MIR\_DER\_SITE category. Most of the data names are clear analogues of similar items in the ATOM\_SITE category; an exception is \_phasing\_MIR\_der\_site.occupancy\_anom, which specifies the relative anomalous occupancy of the atom type present at a heavy-atom site in a particular derivative.

```
Example 3.6.6.5. Phasing of the structure of bovine plasma
  retinol-binding protein (Zanotti et al., 1993) described using
  data items in the PHASING MIR and related categories.
phasing MIR.entry id
phasing MIR.method
  Standard phase refinement (Blow & Crick, 1959)
loop
phasing MIR shell.d res low
phasing MIR shell.d res high
phasing MIR shell.reflns
__phasing_MIR_shell.FOM
                             8.3 6.4 184
                                            0.73
15.0 8.3
            80 0.69
 6.4 5.2 288
                 0.72
                             5.2
                                 4.4
                                       406
                                            0.65
  4.4 3.8
            554
                 0.54
                                 3.4
                                       730
                                            0.53
      3.0
           939
                 0.50
phasing MIR der.id
_phasing_MIR_der.number_of_sites
phasing MIR der.details
KAu (CN) 2
         3
     'major site interpreted in difference Patterson'
          6 'sites found in cross-difference Fourier'
K2HqI4
K3IrCl6
          2 'sites found in cross-difference Fourier'
A11
         11 'data for all three derivatives combined'
1000
_phasing_MIR_der_shell.der id
phasing MIR der shell.d res low
phasing MIR der shell.d res high
phasing MIR der shell.ha ampl
_phasing_MIR_der_shell.loc
   KAu (CN) 2
             15.0 8.3
                         54
                               26
              8.3 6.4
   KAu (CN) 2
                         54
                               20
    - - abbreviated - -
   K2HgI4
             15.0 8.3 149
                               87
   K2HgI4
              8.3
                   6.4
                        121
   - - abbreviated - -
             15.0 8.3
   K3IrCl6
                               27
              8.3 6.4
   K3IrCl6
      - abbreviated -
1000
_phasing_MIR_der_site.der id
phasing_MIR_der_site.id
phasing_MIR_der_site.atom_type_symbol
{	t \_phasing\_MIR\_der\_site.occupancy}
phasing_MIR_der_site.fract_x
_phasing_MIR_der_site.fract_y
phasing MIR der site.fract z
___phasing_MIR_der_site.B_iso
                                                33.0
   KAu (CN) 2
             1 Au 0.40
                         0.082
                                  0.266
                                         0.615
   KAu (CN) 2
             2 Au
                    0.03
                          0.607
                                  0.217
                                         0.816
                                                25.9
             1
                                         0.636
                                                33.7
   K2HqI4
               Нg
                    0.63
                          0.048
                                  0.286
   K2HgI4
             2 Hg
                    0.34
                          0.913
                                  0.768
                                         0.889
      - abbreviated
phasing MIR der refln.index h
                                          6
__phasing_MIR_der_refln.index_k
                                          1
_phasing_MIR_der_refln.index l
                                         25
_phasing_MIR_der_refln.der id
                                      HGPT1
_phasing_MIR_der_refln.set_id
                                    'NS1-96'
_phasing_MIR_der_refln.F_calc_au
                                     106.66
phasing_MIR_der_refln.F_meas_au
                                     204.67
_phasing_MIR_der_refln.F_meas_sigma
                                       6.21
_phasing_MIR_der_refln.HL_A_iso
                                      -3.15
phasing MIR der refln.HL B iso
                                      -0.76
phasing_MIR_der_refln.HL_C_iso
                                       0.65
_phasing_MIR_der_refln.HL_D_iso
                                       0.23
phasing MIR der refln.phase calc
```

# 3.6.6.1.6. Phasing data sets

The data items in these categories are as follows:

```
    (a) PHASING_SET
    _phasing_set.id
    _phasing_set.cell_angle_alpha
    _phasing_set.cell_angle_beta
    _phasing_set.cell_angle_gamma
    _phasing_set.cell_length_a
```

```
_phasing_set.cell length b
  phasing set.cell length c
  phasing set.detector specific
 _phasing_set.detector type
 _phasing_set.radiation source specific
 _phasing_set.radiation_wavelength
  _phasing_set.temp
(b) PHASING SET REFLN
 _phasing_set_refln.index h
 _phasing_set_refln.index k
 _phasing_set_refln.index_l
 phasing set refln.set id
          phasing set.id
  phasing set refln.F meas
 _phasing_set_refln.F_meas_au
 _phasing_set_refln.F meas sigma
  phasing set refln.F meas sigma au
```

The bullet  $(\bullet)$  indicates a category key. Where multiple items within a category are marked with a bullet, they must be taken together to form a compound key. The arrow  $(\rightarrow)$  is a reference to a parent data item.

Data items in the PHASING\_SET family of categories are homologous to items with related names in the CELL and DIFFRN families of categories. The PHASING\_SET categories were added to the mmCIF data model so that intensity and phase information for the data sets used in phasing could be stored in the same data block as the information for the refined structure. It is not necessary to store all the experimental information for each data set (*e.g.* the raw data sets or crystal growth conditions); it is assumed that the full experimental description of each phasing set would be recorded in a separate data block (see Example 3.6.6.6).

Data items in the PHASING\_SET category identify each set of diffraction data used in a phasing experiment and can be used to summarize relevant experimental conditions. Because a given data set may be used in a number of different ways (for example, as an isomorphous derivative and as a component of a multiple-wavelength calculation), it is appropriate to store the reflections in a category distinct from either the PHASING\_MAD or PHASING\_MIR family of categories, but accessible to both these families (and any similar categories that might be introduced later to describe new phasing methods). Figs. 3.6.6.1 and 3.6.6.2 show how reference is made to the relevant sets from within the PHASING\_MAD and PHASING\_MIR categories.

Each phasing set is given a unique value of <code>\_phasing\_set.id</code>. The other PHASING\_SET data items record the cell dimensions and

```
Example 3.6.6.6. The phasing sets used in the structure deter-
  mination of bovine plasma retinol-binding protein (Zanotti et
  al., 1993) described with data items in the PHASING SET and
  PHASING SET REFLN categories.
phasing set.id
                                    'NS1-96'
_phasing_set.cell_angle alpha
                                   90.0
phasing set.cell angle beta
                                   90.0
_phasing_set.cell_angle_gamma
                                    90.0
phasing set.cell length a
                                   38.63
_phasing_set.cell_length_b
                                   38.63
_phasing_set.cell_length_c
                                   82.88
phasing_set.radiation_wavelength 1.5145
phasing set.detector type
                                    'image plate'
phasing set.detector specific
                                    'RXII
phasing_set_refln.set_id
phasing_set_refln.index_h
phasing_set_refln.index_k
phasing set refln.index 1
_phasing_set_refln.F meas au
__phasing_set_refln.F_meas_sigma_au
  'NS1-96' 15 15 32 181.79 3.72
  'NS1-96' 15 15 33
                          34.23 1.62
  - - - abbreviated - -
```

angles associated with each phasing set, the wavelength of the radiation used in the experiment, the source of the radiation, the detector type, and the ambient temperature.

Data items in the PHASING\_SET\_REFLN category are used to record the values of the measured structure factors and their uncertainties. Several distinct data sets may be present in this list, with reflections in each set identified by the appropriate value of phasing set refln.set id.

### 3.6.6.2. Refinement

```
The categories describing refinement are as follows:
REFINE group
Overall description of the refinement (§3.6.6.2.1)
  REFINE
  REFINE FUNCT MINIMIZED
Analysis of the refined structure (§3.6.6.2.2)
  REFINE ANALYZE
Restraints and refinement by shells of resolution (§3.6.6.2.3)
  REFINE LS RESTR
  REFINE LS RESTR NCS
  REFINE LS RESTR TYPE
  REFINE LS SHELL
  REFINE LS CLASS
Equivalent atoms in the refinement (§3.6.6.2.4)
  REFINE_B_ISO
  REFINE OCCUPANCY
History of the refinement (\S 3.6.6.2.5)
  REFINE HIST
```

The macromolecular CIF dictionary contains many more data items for describing the refinement process than the core CIF dictionary does. In addition to new items in the REFINE category itself, additional categories have been introduced to describe in great detail the function minimized and the restraints applied, and the history of the refinement process, which often has many cycles. The REFINE\_ANALYZE category can be used to give details of many of the quantities that may be used to assess the quality of the refinement. The REFINE\_LS\_SHELL category allows results to be reported by shells of resolution, and in effect replaces the more general core CIF category REFINE LS CLASS.

## 3.6.6.2.1. Overall description of the refinement

The data items in these categories are as follows:

```
(a) REFINE
• refine.entry_id

ightarrow _entry.id
  refine.aniso B[1][1]
  _refine.aniso_B[1][2]
  refine.aniso B[1][3]
  refine.aniso B[2][2]
   refine.aniso B[2][3]
  refine.aniso B[3][3]
  _refine.B_iso_max
  refine.B iso mean
  _refine.B_iso_min
  _refine.correlation_coeff_Fo_to_Fc
  refine.correlation_coeff_Fo_to_Fc_free
\_refine.details~(\sim \_refine\_special\_details) \\ + \_refine.diff\_density\_max
  _refine.diff_density_min
_refine.diff_density_rms
  _refine.ls_abs_structure_details
  _refine.ls_abs_structure_Flack
  refine.ls_abs_structure_Rogers
  refine.ls_d_res_high
   refine.ls d res low
   refine.ls extinction coef
   refine.ls extinction expression
  _refine.ls_extinction_method
```

```
+ refine.ls goodness of fit all
 _refine.ls_goodness_of_fit gt
  refine.ls goodness of fit obs
 _refine.ls_goodness_of_fit_ref
 __refine.ls_hydrogen treatment
 _refine.ls_matrix_type
  _refine.ls_number_constraints
 refine.1s_number_parameters
  refine.ls number reflns all
  refine.ls number reflns obs
        (\sim _refine 1s number reflns)
  refine.ls number reflns R free
 _refine.ls_number_reflns_R_work
  refine.ls number restraints
 refine.ls_percent_reflns_obs
  refine.ls_percent_reflns_R_free
 refine.ls R factor all
  _refine.ls_R_factor_gt
  refine.ls R factor obs
 _refine.ls_R_factor_R free
  refine.ls R factor R free error
 _refine.ls_R_factor_R_free_error_details
  refine.ls R factor R work
 refine.ls R Fsqd factor obs
        (\sim refine 1s R Fsqd factor)
  refine.ls R I factor_obs (~ _refine_ls_R_I_factor)
 _refine.ls_redundancy_reflns_all
  refine.ls redundancy reflns obs
 _refine.ls_restrained S all
  \_refine.ls\_restrained\_S\_obs
 refine.ls_shift_over_esd_max
        (∼ refine ls shift/esd max)
  refine.ls_shift_over_esd_mean
        (~ refine ls shift/esd mean)
  refine.ls shift over su max
        (\sim \verb|refine_ls_shift/su_max|)
  refine.ls_shift_over_su_max_lt
        (\sim \_{\tt refine\_ls\_shift/su\_max\_lt})
   refine.ls_shift_over_su_mean
        (\sim refine ls shift/su mean)
  refine.ls shift over su mean lt
        (~ refine ls shift/su mean lt)
  _refine.ls_structure_factor_coef
  refine.ls weighting details
 _refine.ls_weighting_scheme
  refine.ls wR factor all
 _refine.ls_wR_factor_obs
  refine.ls wR factor R free
  refine.ls wR factor R work
 _refine.occupancy max
  refine.occupancy min
 _refine.overall_FOM_free_R_set
  refine.overall FOM work R set
  _refine.overall_SU_B
  refine.overall SU ML
  refine.overall SU R Cruickshank DPI
  refine.overall SU R free
 refine.solvent model details
 _refine.solvent_model_param_bsol
  _refine.solvent_model_param_ksol
(b) REFINE FUNCT MINIMIZED
 _refine_funct_minimized.type
  refine funct minimized.number terms
 _refine_funct_minimized.residual
  refine_funct_minimized.weight
```

The bullet  $(\bullet)$  indicates a category key. The arrow  $(\rightarrow)$  is a reference to a parent data item. Items in italics have aliases in the core CIF dictionary formed by changing the full stop  $(\cdot)$  to an underscore  $(\_)$  except where indicated by the  $\sim$  symbol. Data items marked with a plus (+) have companion data names for the standard uncertainty in the reported value, formed by appending the string  $\_$ esd to the data name listed.

There is already an extensive set of data names in the REFINE category of the core dictionary, and Section 3.2.3.1 should be read with the present section. The only data items discussed in this section are entries in the mmCIF dictionary that do not have a counterpart in the core CIF dictionary. Analogues of a number of R factors in the core CIF dictionary have been added to the mmCIF dictionary to express these same R factors independent

dently for the free and working sets of reflections. The remaining new data items have more specialized roles, which are discussed below

The data item \_refine.entry\_id has been added to the REFINE category to provide the formal category key required by the DDL2 data model.

Many macromolecular structure refinements now use the statistical cross-validation technique of monitoring a 'free' R factor (Brünger, 1997).  $R_{\rm free}$  is calculated the same way as the conventional least-squares R factor, but using a small subset of reflections that are not used in the refinement of the structural model. Thus  $R_{\rm free}$  tests how well the model predicts experimental observations that are not themselves used to fit the model.

The mmCIF dictionary provides data names for  $R_{\rm free}$  and for the complementary  $R_{\rm work}$  values for the 'working' set of reflections, which are the reflections that are used in the refinement. Separate data items are provided for unweighted and weighted versions of each R factor. A fixed percentage of the total number of reflections is usually assigned to the free group, and this percentage can be specified. Further details about the method used for selecting the free reflections can be given using <code>reflns.R\_free\_details</code>. The estimated error in the  $R_{\rm free}$  value may also be given, along with the method used for determining its value.

The purposes of having a set of reflections that are not used in the refinement are to monitor the progress of the refinement and to ensure that the R factor is not being artificially reduced by the introduction of too many parameters. However, as the refinement converges, the working and free R factors both approach stable values. It is common practice, particularly in structures at high resolution, to stop monitoring  $R_{\text{free}}$  at this point and to include all the reflections in the final rounds of refinement. It is thus worth noting a distinction between refine.ls R factor obs and refine.ls R factor R work: \_refine.ls\_R\_factor\_obs relates to a refinement in which all reflections more intense than a specified threshold were used, while refine.ls R factor R work relates to a refinement in which a subset of the observed reflections were excluded from the refinement and were used to calculate the free R factor. The dictionary allows the use of both values if a free R factor were calculated for most of the refinement, but all of the observed reflections were used in the final rounds of refinement; the protocol for this may be explained in \_refine.details. When a full history of the refinement is provided using data items in the REFINE HIST category, it is preferable to specify a change in protocol using data items in this category.

Other data items help to provide an assessment of the quality of the refinement. The scale-independent correlation coefficient between the observed and calculated structure factors may be recorded for the reflections included in the refinement using the data item \_refine.correlation\_coeff\_Fo\_to\_Fc. There is a similar data item for the reflections that were not included in the refinement.

Overall standard uncertainties for positional and displacement parameters can be recorded according to a number of conventions. A maximum-likelihood residual for the positional parameters can be given using <code>\_refine.overall\_SU\_ML</code> and the corresponding value for the displacement parameters can be given using <code>\_refine.overall\_SU\_B</code>. Diffraction-component precision indexes for the displacement parameters based on the crystallographic R factor (the Cruickshank DPI; Cruickshank, 1999) can be given using <code>\_refine.overall\_SU\_R\_Cruickshank\_DPI</code>. The corresponding value for  $R_{\rm free}$  can be given using <code>\_refine.overall\_SU\_R\_free</code>.

```
Example 3.6.6.7. Results of the overall refinement of an HIV-1 protease structure (PDB 5HVP) described using data items in the REFINE and REFINE_FUNCT_MINIMIZED categories.
```

```
refine.entry id
                                       'SHVP'
refine.ls_number_reflns obs
                                       12901
refine.ls number restraints
                                       6609
refine.ls number parameters
                                       7032
refine.ls R factor obs
                                       0.176
refine.ls weighting scheme
                                       calc
_refine.ls_weighting_details
   Sigdel model of Konnert-Hendrickson:
   Sigdel: Afsig + Bfsig*(sin(theta)/lambda-1/6)
   Afsig = 22.0, Bfsig = -150.0 at the beginning
      of refinement.
   Afsig = 15.5, Bfsig = -50.0 at the end of
      refinement.
loop
  refine funct minimized.type
  refine funct minimized.number terms
  refine funct minimized.residual
    sum(W*Delta(Amplitude)^2^'
                                             1621.3
     sum (W*Delta (Plane+Rigid) ^2^
                                        85
                                                56.68
    'sum(W*Delta(Distance)^2
                                      1219
                                               163.59
    'sum(W*Delta(U-tempfactors)^2^'
                                      1192
                                                69.338
```

The quality of a data set used for the refinement of a macro-molecular structure is often given not only in terms of the scaling residuals, but also in terms of the data redundancy (the ratio of the number of reflections measured to the number of crystallographically unique reflections). Data items are provided to express the redundancy of all reflections, as well as those that have been marked as 'observed' (*i.e.* exceeding the threshold for inclusion in the refinement). The percentage of the total number of reflections that are considered observed is another metric of the quality of the data set, and a data item is provided for this (refine.ls percent reflns obs).

The limited resolution of many macromolecular data sets makes it inappropriate to refine anisotropic displacement factors for each atom. For these low- to medium-resolution studies, an overall anisotropic displacement model may be refined. The data items <code>\_refine.aniso\_B\*</code> are provided for recording the unique elements of the matrix that describes the refined anisotropy.

The two-parameter method for modelling the contribution of the bulk solvent to the scattering proposed by Tronrud is used in several refinement programs. The data items <code>refine.solvent\_model\_\*</code> can be used to record the scale and displacement factors of this model, and any special aspects of its application to the refinement.

The average phasing figure of merit can be given for the working and free reflections. Unusually high or low values of displacement factors or occupancies can be a sign of problems with the refinement, so data items are provided to record the high, low and mean values of each. Further indicators of the quality of the refinement are found in the REFINE ANALYZE category (Section 3.6.6.2.2).

The data items in the REFINE\_FUNCT\_MINIMIZED category allow a brief description of the function minimized during refinement to be given (Example 3.6.6.7). It is not possible to reconstruct the functioned minimized during the refinement by automatic parsing of the values of these data items, but the details given in them may still be helpful to someone reading the mmCIF.

### 3.6.6.2.2. Analysis of the refined structure

The data items in this category are as follows: REFINE ANALYZE

```
    _refine_analyze.entry_id
    _ entry.id
```

```
refine_analyze.Luzzati_coordinate_error_free
refine_analyze.Luzzati_coordinate_error_obs
refine_analyze.Luzzati_d_res_low_free
refine_analyze.Luzzati_d_res_low_obs
refine_analyze.Luzzati_sigma_a_free
refine_analyze.Luzzati_sigma_a_free_details
refine_analyze.Luzzati_sigma_a_obs
refine_analyze.Luzzati_sigma_a_obs
refine_analyze.Luzzati_sigma_a_obs_details
refine_analyze.number_disordered_residues
refine_analyze.occupancy_sum_hydrogen
refine_analyze.occupancy_sum_non_hydrogen
refine_analyze.RG_d_res_low
refine_analyze.RG_free
refine_analyze.RG_free
refine_analyze.RG_free_work_ratio
refine_analyze.RG_work
```

The bullet  $(\bullet)$  indicates a category key. The arrow  $(\rightarrow)$  is a reference to a parent data item.

In small-molecule crystallography, there is general agreement on the metrics that should be used to assess the quality of a structure determination, and data items in the REFINE category of the core CIF dictionary can be used to record them. For macromolecular structure determinations, no such agreement has been achieved yet and new metrics are frequently suggested as the field evolves. The REFINE\_ANALYZE category can be used to record the metrics that were in common use at the time that the mmCIF dictionary was constructed; it is anticipated that new metrics will be added in future versions of the dictionary, and that some of the current metrics may fall into disuse.

Luzzati (1952) devised a method for estimating the average positional shift that would be needed in an idealized refinement to reach an R factor of zero by using a plot of R factors against resolution. For some time, macromolecular crystallographers have used a modification of this approach to assess the average positional error. Recent practice has used Luzzati plots based on the free R values to yield a cross-validated error estimate. Data items are provided for recording these coordinate-error estimates and the range of resolution included in the plot (Example 3.6.6.8). Related data names allow the specification of the value of  $\sigma_a$  used in constructing the Luzzati plot.

A general feature of introducing more parameters in the model of the structure is a reduction in the R factor, but the statistical significance of this is often obscured by the simultaneous reduction in the ratio of observations to parameters. Attempts to extend Hamilton's (1965) test to macromolecular structures are usually confounded by the use of restraints. Tickle  $et\ al.$  (1998) proposed the use of a Hamilton generalized R factor analyzed separately for reflections in the working set (those used in the refinement) and for reflections in the free set (those set aside for cross validation), and these metrics are often reported in the literature. Data items are provided for recording the Hamilton generalized R factor for the working and free set of reflections, and for the ratio of the two.

Other indicators of a successful refinement involve the relative order of the model. Data items are provided for recording the sum of the occupancies of the hydrogen and non-hydrogen atoms in the model. The number of disordered residues may also be recorded.

### 3.6.6.2.3. Restraints and refinement by shells of resolution

The data items in these categories are as follows:

```
(a) REFINE_LS_RESTR

• _refine_ls_restr.type
_refine_ls_restr.dev_ideal
_refine_ls_restr.dev_ideal_target
_refine_ls_restr.number
_refine_ls_restr.rejects
_refine_ls_restr.weight
```

```
(b) REFINE LS RESTR NCS
• refine_ls restr ncs.dom id
          struct ncs dom.id
  refine_ls_restr_ncs.ncs_model_details
  refine ls restr ncs.rms dev B iso
  refine_ls_restr_ncs.rms_dev_position
  refine ls restr ncs.weight B iso
  refine ls restr ncs.weight position
(c) REFINE LS RESTR TYPE
 _refine_ls_restr_type.type
          refine_ls_restr.type
  refine ls restr_type.distance_cutoff_high
  refine ls restr type.distance cutoff low
(d) REFINE_LS_SHELL
 _refine_ls_shell.d_res_high
 _refine_ls_shell.d_res_low
  refine ls shell.number reflns all
  refine_ls_shell.number_reflns_obs
  refine ls shell.number reflns R free
  refine ls shell.number reflns R work
 refine_ls_shell.percent_reflns_obs
  _refine_ls_shell.percent_reflns_R_free
  refine_ls_shell.R_factor_all
  refine ls shell.R factor obs
  refine_ls_shell.R_factor_R_free
  refine ls shell.R factor R free error
  refine ls shell.R factor R work
 refine_ls_shell.redundancy_reflns_all
  refine ls shell.redundancy reflns obs
  _refine_ls_shell.wR_factor_all
  refine ls shell.wR factor obs
  refine ls shell.wR factor R free
  refine ls shell.wR factor R work
(e) REFINE LS CLASS
• _refine_ls_class.code
  refine_ls_class.d_res_high
  refine ls_class.d_res_low
  refine ls class.R factor all
 _refine_ls_class.R_factor_gt
 _refine_ls_class.R_Fsqd factor
  refine ls class.R I factor
  refine ls class.wR factor all
```

The bullet  $(\bullet)$  indicates a category key. Where multiple items within a category are marked with a bullet, they must be taken together to form a compound key. The arrow  $(\rightarrow)$  is a reference to a parent data item.

These categories were introduced in the mmCIF dictionary to allow a detailed description of several aspects of structure refinement to be given. Data items in the REFINE\_LS\_RESTR category allow geometric restraints to be specified and the deviations of restrained parameters from ideal values in the final model to be given. The type of the geometric restraints can be described in more detail using data items in the REFINE\_LS\_RESTR\_TYPE category. Data items in the REFINE\_LS\_RESTR\_NCS category can be used to give information about any restraints on noncrystallographic symmetry used in the refinement and the category REFINE\_LS\_SHELL contains data items that allow the results of refinement to be given by shells of resolution.

Data items in the REFINE\_LS\_RESTR category can be used to record details about the restraints applied to various classes of parameters during least-squares refinement (Example 3.6.6.9). It is clearly useful to tabulate the various classes of restraint, their deviation from ideal target values and the criteria used to reject

Example 3.6.6.9. Results of the refinement of an HIV-1 protease structure (PDB 5HVP) described with data items in the REFINE LS RESTR and REFINE LS SHELL categories.

```
100p
refine ls restr.type
refine ls restr.dev ideal target
refine ls restr.dev ideal
refine ls restr.number
{\tt refine\_ls\_restr.criterion}
 refine_ls_restr.rejects
'p bond d'
                     0.020
                             0.018
                                    1654 '>2 sigma'
'p angle d'
                     0.030
                             0.038
                                    2246 '>2 sigma'
                                                      139
                                          '>2 sigma'
'p planar d'
                     0.040
                             0.043
                                     498
'p planar'
                     0.020
                             0.015
                                    270
                                          '>2 sigma'
                                                      1
'p_chiral'
                     0.150
                             0.177
                                    278
                                          '>2 sigma'
                                                      2
                                          '>2 sigma'
'p_singtor_nbd'
                     0.500
                                    582
                                                      0
                             0.216
'p multtor nbd'
                     0.500
                             0.207
                                    419
                                          '>2 sigma'
                                                      0
'p xyhbond nbd'
                     0.500
                             0.245
                                    149
                                          '>2 sigma'
                                                      Λ
'p_planar tor'
                     3.0
                             2.6
                                    203
                                          '>2 sigma'
                                                      9
'p_staggered_tor'
                     15.0
                             17.4
                                    298
                                          '>2 sigma' 31
'p orthonormal tor'
                     20.0
                             18.1
                                    12
                                          '>2 sigma' 1
1000
_refine_ls_shell.d res low
refine_ls_shell.d_res_high
refine_ls_shell.number_reflns_obs
refine ls shell.R factor obs
   8.00
          4.51
                  1226
   4.51
          3.48
                  1679
                          0.146
   3.48
          2.94
                  2014
                          0.160
          2.59
                  2147
                          0.182
   2.94
   2.59
          2.34
                  2127
                          0.193
   2.34
          2.15
                  2061
                          0.203
   2.15
          2.00
                  1647
                          0.188
```

parameters that lie too far from a target, as these data are often published as part of a description of the refinement and are often deposited with the coordinates in an archive. However, the types of restraints applied depend strongly on the software package used, and as new refinement packages regularly become available, it was clearly not advisable to provide program-specific data items in the mmCIF dictionary. The approach taken in the mmCIF dictionary has been to allow the value of refine 1s restr.type to be a free-text field, so that arbitrary labels can be given to restraints that are particular to a software package, but to recommend the use of specific labels for restraints applied by particular programs. The dictionary provides examples for labels specific to the programs PROTIN/PROLSQ (Hendrickson & Konnert, 1979) and RESTRAIN (Driessen et al., 1989). These program-specific representations have particular prefixes; thus the value p bond d is a bond-distance restraint as applied by *PROTIN/PROLSQ*. Values for refine 1s restr.type appropriate for other refinement programs may be suggested in future versions of the mmCIF dictionary.

Data items in the REFINE LS RESTR TYPE category can be used to specify the ranges within which quantities are allowed to vary for each type of restraint. The special value indicated by a full stop (.) represents a restraint unbounded on the high or low side.

Data items in the REFINE\_LS\_RESTR\_NCS category can be used to record details about the restraints applied to atom positions in domains related by noncrystallographic symmetry during leastsquares refinement, and also to record the deviation of the restrained atomic parameters at the end of the refinement. The domains related by noncrystallographic symmetry are defined in the STRUCT NCS DOM and related categories (see Section 3.6.7.5.5). The quantities that can be recorded for each restrained domain are the root-mean-square deviations of the displacement and positional parameters, and the weighting coefficients used in the noncrystallographic restraint of each type of parameter. Any special aspects of the way the restraints were applied may be described using refine 1s restr ncs.ncs model details.

Data items in the REFINE LS SHELL category are used to summarize details of the results of the least-squares refinement by shells of resolution (Example 3.6.6.9). The resolution range, in ångströms, forms the category key; for each shell the quantities reported, such as the number of reflections above the threshold for counting as significantly intense, are all defined in the same way as the corresponding data items used to describe the results of the overall refinement in the REFINE category.

The core dictionary category REFINE LS CLASS was introduced after the release of the first version of the mmCIF dictionary. It provides a more general way of describing the treatment of particular subsets of the observations, but it is not expected to be used in macromolecular structural studies, where partition by shells of resolution is traditional.

### 3.6.6.2.4. Equivalent atoms in the refinement

The data items in these categories are as follows:

```
(a) REFINE B ISO
  refine B iso.class
  refine B iso.details
 refine B iso.treatment
  refine B iso.value
(b) REFINE OCCUPANCY
  refine occupancy.class
  refine occupancy.details
 refine occupancy.treatment
  refine occupancy.value
```

The bullet (•) indicates a category key.

In macromolecular structure refinement, displacement factors or occupancies are often treated as equivalent for groups of atoms. An example would be the case where most of the atoms in the structure are refined with isotropic displacement factors, but a bound metal atom is allowed to refine anisotropically. Another example would be where the occupancies for all of the atoms in the protein part of a macromolecular complex are fixed at 1.0, but the occupancies of atoms in a bound inhibitor are refined. The REFINE B ISO and REFINE OCCUPANCY categories can be used to record this information (Example 3.6.6.10).

```
Example 3.6.6.10. The handling of displacement factors and
  occupancies during the refinement of an HIV-1 protease
  structure (PDB 5HVP) described with data items in the
  REFINE B ISO and REFINE OCCUPANCY categories.
_refine_B_iso.class
refine_B_iso.treatment
   'protein'
                isotropic
    solvent'
                 isotropic
   'inhibitor'
                isotropic
1000
refine occupancy.class
refine_occupancy.treatment
refine occupancy.value
refine occupancy.details
   'protein'
                                   1.00
   solvent'
                                    1.00
                               fix
   'inhibitor orientation 1'
                               fix
                                    0.65
   'inhibitor orientation 2'
                               fix 0.35
; The inhibitor binds to the enzyme in two
  alternative conformations. The occupancy of
  each conformation was adjusted so as to result
  in approximately equal mean thermal factors
  for the atoms in each conformation.
```

```
Example 3.6.6.11. An example of one cycle of refinement
  described with data items in the REFINE HIST category.
refine hist.cycle id
                                     C134
_refine_hist.d_res high
                                     1.85
refine hist.d res low
                                     20.0
_refine_hist.number_atoms_solvent
                                      217
refine hist.number atoms total
                                      808
refine_hist.number_reflns_all
                                     6174
refine hist.number reflns obs
                                     4886
_refine_hist.number_reflns_R_free
                                      476
refine hist.number reflns R work
                                     4410
refine hist.R factor all
                                     .265
refine_hist.R factor obs
                                     .195
refine hist.R factor R free
                                     .274
refine hist.R factor R work
                                     .160
refine hist.details
; Add majority of solvent molecules. B factors
 refined by group. Continued to remove
 misplaced water molecules.
```

Data items in the REFINE\_B\_ISO category can be used to record details of the treatment of isotropic B (displacement) factors during refinement. There is no formal link between the classes identified by <code>\_refine\_B\_iso.class</code> and individual atom sites, although relationships may be inferred if the class names are carefully chosen. The category allows the treatment of the atoms in each class (isotropic, anisotropic or fixed) and the value assigned for fixed isotropic B factors to be recorded. Any special details can be given in a free-text field.

Data items in the REFINE\_OCCUPANCY category can be used to record details of the treatment of occupancies of groups of atom sites during refinement. As with the treatment of displacement factors in the REFINE\_B\_ISO category, the classes itemized by \_refine\_occupancy.class are not formally linked to the individual atom sites, but the relationships may be deduced if the class names are chosen carefully.

### 3.6.6.2.5. History of the refinement

The data items in this category are as follows:

```
REFINE_HIST

• _refine_hist.cycle_id
   _refine_hist.details
   _refine_hist.d_res_high
   _refine_hist.d_res_low
   _refine_hist.number_atoms_solvent
   _refine_hist.number_atoms_total
   _refine_hist.number_reflns_all
   _refine_hist.number_reflns_cobs
   _refine_hist.number_reflns_R_free
   _refine_hist.number_reflns_R_work
   _refine_hist.R_factor_all
   _refine_hist.R_factor_obs
   _refine_hist.R_factor_R_free
   _refine_hist.R_factor_R_free
   _refine_hist.R_factor_R_work
```

The bullet (•) indicates a category key.

Data items in the REFINE\_HIST category can be used to record details about the various steps in the refinement of the structure. They do not provide as thorough a description of the refinement as can be given in other categories for the final model, but instead allow a summary of the progress of the refinement to be given and supported by a small set of representative statistics.

The category is sufficiently compact that a large number of cycles could be summarized, but it is not expected that every cycle of refinement would be routinely reported. Example 3.6.6.11 shows an entry for a single cycle of refinement. It is likely that

an author would present a representative sequence of entries in a looped list.

### 3.6.6.3. Reflection measurements

The categories describing the reflections used in the refinement are as follows:

```
REFLN group
Individual reflections (§3.6.6.3.1)
REFLN
REFLN_SYS_ABS
Groups of reflections (§3.6.6.3.2)
REFLNS
REFLNS_SCALE
REFLNS_SHELL
REFLNS_CLASS
```

Data items in the REFLN category can be used to give information about the individual reflections that were used to derive the final model. The related category REFLN\_SYS\_ABS allows the reflections that should be systematically absent for the space group in which the structure was refined to be tabulated. Data items in the REFLNS category can be used to record information that applies to all of the reflections. Scale factors can be listed in the REFLNS\_SCALE category, while the data items in REFLNS\_SHELL can be used to record information about the reflection set by shells of resolution. The core CIF dictionary category REFLNS\_CLASS, which can be used for a general classification of reflection groups according to criteria other than resolution shell, is not expected to be used in mmCIF applications.

#### 3.6.6.3.1. Individual reflections

The data items in these categories are as follows:

```
(a) REFLN
  _refln.index h
 _refln.index k
 _refln.index 1
  _refln.A_calc
  refln.A calc au
  refln.A meas
  _refln.A_meas au
  _refln.B_calc
  refln.B calc au
  _refln.B meas
  _refln.B_meas au
  refln.class code
  refln.crystal_id
           exptl crystal.id
  _refln.d_spacing
  _refln.F_calc
 _refln.F_calc au
  refln.F meas
  _refln.F_meas_au
  refln.F meas sigma (\sim refln F sigma)
  refln.F meas sigma au
  _refln.F_squared calc
  _____
refln.F squared meas
  _refln.F_squared_sigma
  \_{\tt refln.fom}
  _refln.include_status
  _refln.intensity_calc
  refln.intensity_meas
  refln.intensity_sigma
  _refln.mean_path_length_tbar
  _refln.phase_calc
  _refln.phase meas
  _refln.refinement status
  _refln.scale_group_code
           _reflns_scale.group_code
                               _refln_sint/lambda)
  _refln.sint_over_lambda (\sim
  \_{\tt refln.status} \stackrel{-}{(\sim} \_{\tt refln\_observed\_status})
  _refln.symmetry_epsilon
  refln.symmetry multiplicity
  _refln.wavelength
```

reflns.limit 1 min

```
Example 3.6.6.12. Part of the reflection list for an HIV-1 protease
  structure (PDB 5HVP) described with data items in the REFLN
   category.
loop
refln.index h
refln.index k
refln.index 1
{\tt refln.F\_squared\_calc}
{\tt refln.F\_squared\_meas}
refln.F squared sigma
_refln.status
        0
            0
                                   58.90
                                                1.45 o
                  15718.18
                                15631.06
                                               30.40 o
        0
            0
        0
            0
                  55613.11
                                49840.09
                                               61.86 o
                    246.85
                                  241.86
                                               10.02 o
   5
        0
            0
   6
        n
            n
                     82.16
                                   69.97
                                                1.93 o
        0
            0
                   1133.62
                                  947.79
                                               11.78 o
   8
        0
            0
                   2558.04
                                 2453.33
                                               20.44 o
                                                7.79 o
        0
            0
                    283.88
                                  393.66
  10
                                  171.98
                                                4.26 o
```

```
_refln.wavelength_id

→ _diffrn_radiation.wavelength_id

(b) REFLN_SYS_ABS

• _refln_sys_abs.index_h

• _refln_sys_abs.index_k

• _refln_sys_abs.index_1
_refln_sys_abs.I
_refln_sys_abs.I_over_sigmaI
_refln_sys_abs.sigmaI
```

The bullet (ullet) indicates a category key. Where multiple items within a category are marked with a bullet, they must be taken together to form a compound key. The arrow ( $\rightarrow$ ) is a reference to a parent data item. Items in italics have aliases in the core CIF dictionary formed by changing the full stop (ullet) to an underscore (ullet) except where indicated by the  $\sim$  symbol.

Data items in the REFLN category are used in the same way in the mmCIF and core CIF dictionaries, and Section 3.2.3.2.1 can be consulted for details. However, in macromolecular crystallography it is not usual for reflection intensities to be given in units of electrons (the units specified by the core CIF dictionary). Thus it was necessary to introduce in the mmCIF dictionary data items for the magnitudes of structure factors and their A and B components in arbitrary units (Example 3.6.6.12). A figure of merit (\_refln.fom) can also be included for reflections that were phased using experimental methods.

The REFLN\_SYS\_ABS category allows the intensities of the reflections that should be systematically absent to be tabulated. The ratio of the intensity to its standard uncertainty, given in the data item <code>refln\_sys\_abs.I\_over\_sigmal</code>, can be used to assess whether the reflection is indeed absent. The decision as to whether it is absent is left to the user of the mmCIF and is not recorded in the mmCIF.

## 3.6.6.3.2. Groups of reflections

\_reflns.limit\_l\_max

The data items in these categories are as follows:

(a) REFLNS

• \_reflns.entry\_id

\_ reflns.B\_iso\_Wilson\_estimate
\_ reflns.data\_reduction\_details
\_ reflns.data\_reduction\_method
\_ reflns.d\_resolution\_high
\_ reflns.d\_resolution\_low
\_ reflns.details (~ \_reflns\_special\_details)
\_ reflns.Friedel\_coverage
\_ reflns.limit\_h\_max
\_ reflns.limit\_h\_min
\_ reflns.limit\_k\_max
\_ reflns.limit k\_max
\_ reflns.limit k\_max
\_ reflns.limit k\_min

```
\_reflns.number\_all (\sim \_reflns\_number total)
  reflns.number gt
 \_reflns.number_obs (\sim _reflns_number observed)
 _reflns.observed criterion
 _reflns.observed_criterion F max
  reflns.observed criterion F min
  reflns.observed_criterion_I_max
  reflns.observed criterion I min
  reflns.observed criterion sigma F
  reflns.observed criterion sigma I
 _reflns.percent possible obs
 _reflns.R_free_details
  _reflns.Rmerge_F all
  reflns.Rmerge_F_obs
  reflns.threshold expression
(b) REFLNS SCALE
 _reflns_scale.group code
 _reflns_scale.meas F
 \_reflns\_scale.meas\_F\_squared
  reflns scale.meas intensity
(c) REFLNS SHELL
 _reflns_shell.d_res high
• reflns_shell.d res low
 _reflns_shell.meanI_over_sigI_all
  _reflns_shell.meanI_over_sigI_gt
 _reflns_shell.meanI_over_sigI_obs
  reflns shell.meanI over uI all
 _reflns_shell.meanI_over_uI_gt
  reflns shell.number measured all
  reflns shell.number measured gt
 _reflns_shell.number measured obs
 _reflns_shell.number_possible
 _reflns_shell.number_unique_all
  reflns_shell.number_unique_gt
 reflns_shell.number_unique obs
  reflns_shell.percent_possible_all
 _reflns_shell.percent_possible gt
 reflns_shell.percent_possible obs
 _reflns_shell.Rmerge F all
 _reflns_shell.Rmerge_F gt
  reflns_shell.Rmerge_F_obs
 reflns_shell.Rmerge_I_all
  reflns shell.Rmerge I gt
  reflns shell.Rmerge I obs
(d) REFLNS_CLASS
 _reflns_class.code
 _reflns_class.d_res_high
  reflns class.d res low
 \_reflns\_class.description
 _reflns_class.number_gt
 _reflns_class.number total
 _reflns_class.R_factor all
 reflns class.R factor gt
 _reflns_class.R_Fsqd_factor
  _reflns_class.R_I_factor
  reflns_class.wR_factor_all
```

The bullet (ullet) indicates a category key. Where multiple items within a category are marked with a bullet, they must be taken together to form a compound key. The arrow  $(\to)$  is a reference to a parent data item. Items in italics have aliases in the core CIF dictionary formed by changing the full stop  $(\ \cdot\ )$  to an underscore  $(\_)$  except where indicated by the  $\sim$  symbol.

Data items in the REFLNS category of the core CIF dictionary can be used to summarize the properties or attributes of the complete set of reflections used in refinement (Section 3.2.3.2.2). The mmCIF dictionary adds a number of data items to this category, including the formal category key required by the DDL2 data model. There are also data items for describing the data-reduction method and recording any relevant details about data reduction, and for giving an estimate of the overall Wilson *B* factor for the data set.

A number of the new data items relate to the issue of how reflections are flagged as being observed and are thus used in the refinement. In the core CIF dictionary, the criteria used to consider Example 3.6.6.13. The data set used in the refinement of an HIV-1 protease structure (PDB 5HVP) described using data items in the REFLNS and REFLNS\_SHELL categories.

```
reflns.entry id
reflns.data reduction_method
; Xengen program scalei. Anomalous pairs were merged.
  Scaling proceeded in several passes, beginning with
  1-parameter fit and ending with 3-parameter fit.
reflns.data reduction details
 Merging and scaling based on only those reflections
 with I > sigma(I).
reflns.d resolution high
                                       2.00
reflns.d_resolution low
                                       8.00
reflns.limit h max
                                       2.2
reflns.limit h min
                                       0
reflns.limit k max
                                       46
reflns.limit k min
                                       0
reflns.limit l max
                                       57
reflns.limit l min
                                       0
reflns.number obs
                                       7228
reflns.observed_criterion_sigma_I
                                       1.0
reflns.details
                                       none
loop
refins shell.d res high
reflns shell.d res low
reflns_shell.meanI_over_sigI obs
reflns shell.number measured obs
_reflns_shell.number_unique_obs
reflns shell.percent possible obs
reflns shell.Rmerge F obs
   31.38
          3.82 69.8
                      9024
                             2540
                                   96.8
                                          1.98
    3.82
          3.03
                26.1
                      7413
                            2364
                                   95.1
                                          3.85
          2.65
                      5640
                            2123
                                   86.2
                                          6.37
    3.03
                10.5
    2.65
          2.41
                 6.4
                      4322
                            1882
                                   76.8
                                          8.01
    2.41
          2.23
                 4.3
                      3247
                             1714
                                   70.4
                                          9.86
          2.10
                      1140
                                         13.99
    2.23
                 3.1
                              812
                                   33.3
```

a reflection as being observed are given using the data item  $\_reflns.observed\_criterion$ . This is a free-text field so is not automatically parsable. Therefore it is supplemented in the mmCIF dictionary by data items that can be used to stipulate the criterion in terms of the values of F, I or the uncertainties in these quantities (Example 3.6.6.13). The percentage of the total number of reflections that meet the criterion can be recorded.

Data items are also provided for describing the selection of the reflections used to calculate the free R factor, and for giving the  $R_{\rm merge}$  values for all reflections and for the subset of 'observed' reflections. Data items in the REFLNS\_SCALE and REFLNS\_SHELL categories are used in the same way in the mmCIF and core CIF dictionaries, and Section 3.2.3.2.2 can be consulted for details.

As with the related categories DIFFRN\_REFLNS\_CLASS and REFINE\_LS\_CLASS, the core dictionary category REFLNS\_CLASS was introduced after the release of the first version of the mmCIF dictionary. It provides a more general way of describing the treatment of particular subsets of the observations, but it is not expected to be used in macromolecular structural studies, where partition by shells of resolution is traditional.

### 3.6.7. Atomicity, chemistry and structure

The basic concepts of the mmCIF model for describing a macromolecular structure were outlined in Section 3.6.3. The present section describes the components of the model in more detail. The category groups used to describe the molecular chemistry and structure are: the ATOM group describing atom positions (Section 3.6.7.1); the CHEMICAL, CHEM\_COMP and CHEM\_LINK groups describing molecular chemistry (Section 3.6.7.2); the ENTITY group describing distinct chemical species (Section 3.6.7.3); the GEOM group describing molecular or packing geometry (Section 3.6.7.4); the STRUCT group describing the large-scale features of molecular structure (Section 3.6.7.5); and the SYMMETRY group describing the symmetry and space group (Section 3.6.7.6).

The CHEMICAL category group itself is not generally used in an mmCIF. The purpose of this category group in the core CIF dictionary is to specify the chemical identity and connectivity of the relatively simple molecular or ionic species in a small-molecule or inorganic crystal. In principle, a macromolecular structure determined to atomic resolution could be represented as a coherent chemical entity with a complete connectivity graph. However, in practice, biological macromolecules are built from units from a library of models of standard amino acids, nucleotides and sugars. Data items in the CHEM\_COMP and CHEM\_LINK category groups of the mmCIF dictionary describe the internal connectivity and standard bonding processes between these units.

Molecular or packing geometry is also rarely tabulated for large macromolecular complexes, so the GEOM category group is rarely used in an mmCIF.

#### 3.6.7.1. Atom sites

```
The categories describing atom sites are as follows:

ATOM group

Individual atom sites (§3.6.7.1.1)

ATOM_SITE

ATOM_SITE_ANISOTROP

Collections of atom sites (§3.6.7.1.2)

ATOM_SITES

ATOM_SITES

ATOM_SITES_FOOTNOTE

Atom types (§3.6.7.1.3)

ATOM_TYPE

Alternative conformations (§3.6.7.1.4)

ATOM_SITES_ALT

ATOM_SITES_ALT ENS
```

The ATOM category group represents a compromise between the representation of a small-molecule structure as an annotated list of atomic coordinates and the need in macromolecular crystallography to present a more structured view organized around residues, chains, sheets, turns, helices *etc*. The locations of individual atoms and other information about the atom sites are given using data items in this category group. The categories within the group may be classified as shown in the summary above.

The ATOM\_SITE, ATOM\_SITES and ATOM\_TYPE categories have many data items that are aliases of equivalent data items in the same categories in the core CIF dictionary, but the conventions for the labelling of the atom sites are different.

The ATOM\_SITE\_ANISOTROP and ATOM\_SITES\_FOOTNOTE categories are new to the mmCIF dictionary, as are the categories related to alternative conformations: ATOM\_SITES\_ALT, ATOM\_SITES\_ALT\_ENS and ATOM\_SITES\_ALT\_GEN.

### 3.6.7.1.1. Individual atom sites

ATOM SITES ALT GEN

The data items in these categories are as follows: (a) ATOM SITE

164 references