

9.8. INCOMMENSURATE AND COMMENSURATE MODULATED STRUCTURES

This set of vectors is a vector module M^* . The vectors \mathbf{a}_1^* , \mathbf{a}_2^* , \mathbf{a}_3^* form a basis of the reciprocal lattice Λ^* of the basic structure and \mathbf{q} is the modulation wavevector. The choice of the basis of Λ^* has the usual freedom, the wavevector \mathbf{q} is only determined up to a sign and up to a reciprocal-lattice vector of the basic structure.

A vector module M^* has point-group symmetry K , which is the subgroup of all elements R of $O(3)$ leaving it invariant.

In the case of an incommensurate one-dimensional modulation, M^* is generated by the lattice Λ^* of main reflections and the modulation wavevector \mathbf{q} . It then follows that K is characterized by the following properties:

(1) It leaves Λ^* invariant. (Only in this way are main reflections transformed into main reflections and satellites into satellites.)

(2) Any element R of K then transforms \mathbf{q} into $\pm\mathbf{q}$ (modulo reciprocal-lattice vectors of Λ^*).

An element R of K then transforms the basic vectors \mathbf{a}_1^* , \mathbf{a}_2^* , \mathbf{a}_3^* , \mathbf{q} into ones of the form (9.8.1.15). If one denotes, as in (9.8.1.2), \mathbf{q} by \mathbf{a}_4^* , this implies

$$R\mathbf{a}_i^* = \sum_{j=1}^4 \Gamma^*(R)_{ji} \mathbf{a}_j^*, \quad i = 1, \dots, 4, \quad (9.8.1.16)$$

with $\Gamma^*(R)$ a 4×4 matrix with integral entries. In the case of an incommensurate modulated crystal structure, only two vectors with the same length as \mathbf{q} are \mathbf{q} and $-\mathbf{q}$. As Λ^* is left invariant, it follows that for a one-dimensionally modulated structure $\Gamma^*(R)$ has the form

$$\Gamma^*(R) = \begin{pmatrix} \Gamma_E^*(R) & \Gamma_M^*(R) \\ 0 & \varepsilon(R) \end{pmatrix}, \quad \text{where } \varepsilon(R) = \pm 1. \quad (9.8.1.17)$$

This matrix represents the orthogonal transformation R when referred to the basis vectors \mathbf{a}_i^* ($i = 1, 2, 3, 4$) of the vector module M^* . As in the case of lattices, two vector modules of modulated crystals are equivalent if they have bases (*i.e.* a basis for the reciprocal lattice Λ^* of the basic structure together with a modulation wavevector \mathbf{q}) such that the set of matrices $\Gamma^*(K)$ representing their symmetry is the same for both vector modules. Equivalent vector modules form a *Bravais class*.

Again, as in the case of three-dimensional lattices, it is sometimes convenient to consider a vector module that includes as subset the one spanned by all diffraction spots as in (9.8.1.15). Within such a larger vector module, the actual diffraction peaks then obey *centring conditions*. For a vector module associated with a modulated structure, centring may involve main reflections (the basic structure then has a centred lattice), or satellites, or both. For example, if in a structure with primitive orthorhombic basic structure the modulation wavevector is given by $\alpha\mathbf{a}_1^* + \frac{1}{2}\mathbf{a}_2^*$, one may describe the diffraction spots by means of the non-primitive lattice basis \mathbf{a}_1^* , $\frac{1}{2}\mathbf{a}_2^*$, \mathbf{a}_3^* and by the modulation wavevector $\alpha\mathbf{a}_1^*$.

Crystallographic point groups are denoted generally by the same letter K .

9.8.1.4.2. Description in four dimensions

The matrices $\Gamma^*(R)$ form a faithful integral representation of the three-dimensional point group K . It is also possible to consider them as four-dimensional orthogonal transformations leaving a lattice with basis vectors (9.8.1.14) invariant. Indeed, one can consider the vectors (9.8.1.15) as *projections* of four-dimensional lattice vectors $H_s = (\mathbf{H}, H_I)$, which can be written as

$$H_s = \sum_{i=1}^4 h_i \mathbf{a}_{si}^*, \quad (9.8.1.18)$$

where [cf. (9.8.1.14)] m has now been replaced by h_4 and

$$\mathbf{a}_{si}^* = (\mathbf{a}_i^*, 0), \quad i = 1, 2, 3; \quad \mathbf{a}_{s4}^* = (\mathbf{q}, 1). \quad (9.8.1.19)$$

As will be explained in Section 9.8.4, these vectors span the four-dimensional reciprocal lattice for a periodic structure having as three-dimensional intersection (say defined by the hyperplane $t = 0$) the modulated crystal structure (a specific example has been given in Subsection 9.8.1.3). In direct space, the point group K_s in four dimensions with elements R_s of $O(4)$ then acts on the corresponding dual basis vectors (9.8.1.11) of the four-dimensional *direct lattice* as

$$R_s \mathbf{a}_{si} = \sum_{j=1}^4 \Gamma(R)_{ji} \mathbf{a}_{sj} \quad (i = 1, 2, 3, 4), \quad (9.8.1.20a)$$

where $\Gamma(R)$ is the transpose of the matrix $\Gamma^*(R^{-1})$ appearing in (9.8.1.17) and therefore for incommensurate one-dimensionally modulated structures it has the form

$$\Gamma(R) = \begin{pmatrix} \Gamma_E(R) & 0 \\ \Gamma_M(R) & \varepsilon(R) \end{pmatrix}. \quad (9.8.1.20b)$$

9.8.1.4.3. Four-dimensional crystallography

Let us summarize the results obtained in the previous paragraph. The matrices $\Gamma(R)$ form a faithful integral representation of the three-dimensional point group K with a four-dimensional carrier space V_s . It is a reducible representation having as invariant subspaces the physical three-dimensional space, denoted by V (or sometimes also by V_E), and the additional one-dimensional space, denoted by V_I . In V , the four-dimensional point-group transformation acts as R (sometimes also denoted by R_E), in V_I it acts as one of the two one-dimensional point-group transformations: the identity or the inversion. Therefore, the space V_s can be made Euclidean with $\Gamma(R)$ defining a four-dimensional point-group transformation R_s , which is an element of a crystallographic subgroup K_s of $O(4)$. The four-dimensional point-group transformations are of the form (R, ε) , with $\varepsilon = \pm 1$ and they act on the four-dimensional lattice basis as

$$(R, \varepsilon) \mathbf{a}_{si} = \sum_{j=1}^4 \Gamma(R)_{ji} \mathbf{a}_{sj}, \quad i = 1, \dots, 4, \quad (9.8.1.21)$$

where ε stands for $\varepsilon(R)$ as in (9.8.1.20b). So the point-group symmetry operations are crystallographic and given by pairs of a three-dimensional crystallographic point-group transformation and a one-dimensional $\varepsilon = \pm 1$, respectively. The case $\varepsilon = -1$ corresponds to an *inversion of the phase of the modulation function*.

As in the three-dimensional case, one can define equivalence classes among those four-dimensional point groups.

Two point groups K_s and K'_s belong to the same *geometric crystal class* if their three-dimensional (external) parts (forming the point group K_E and K'_E , respectively) are in the same three-dimensional crystal class [*i.e.* are conjugated subgroups of $O(3)$] and their one-dimensional internal parts (forming the point groups K_I and K'_I , respectively) are equal. The latter condition implies that corresponding point-group elements have the same value of ε .

Such a geometric crystal class can then be denoted by the symbol of the three-dimensional crystal class together with the values of ε that correspond to the generators.

Also, the notion of *arithmetic equivalence* can be generalized to these four-dimensional point groups, as they admit the same faithful integral representation $\Gamma(K)$ given above. This means